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# Bridging knowledge gaps on the role of spatial planning in wildfire risk reduction: insights from Portugal

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Fantina Tedim Centre of Studies in Geography and Spatial Planning (CEGOT), Geography Department, Faculty of Arts and Humanities, University of Porto, 4150-564 Porto, Portugal Email: ftedim@letras.up.pt ABSTRACT

**Background.** This paper identifies the weaknesses of the Portuguese approach to promote wildfire risk reduction through spatial planning. **Aims.** This paper contributes to bridging a critical gap in knowledge on the role of spatial planning in the reduction of wildfire hazard, given that the characteristics of fire hazard are distinctive from other natural hazards. **Methods.** Firstly, we used an online questionnaire answered by 175 municipalities of Portugal in order to examine local technicians' experience in applying spatial planning legislation and wildfire management policies. In a second step, we collected data from a Delphi survey with 27 experts with the aim of confirming or repudiating the importance of each need for integration between spatial planning and rural fire management indicated by the replies of the 175 municipalities. **Key results, conclusions, and implications.** One of the main identified weaknesses relates to the integration of the National Hazard Map in the Constraints Map of the Master Plans, considering the high inter-annual variability of fire hazard and the long-term definition of the municipal spatial planning framework.

**Keywords:** communities, hazard index, planning, policies, risk reduction, spatial planning, threats, wildfire.

# Introduction

Wildfire losses are increasing in many parts of the world as a consequence of urban sprawl, i.e. 'the rapid expansion of the geographic extent of cities and towns often characterised by low-density housing' (Rafferty 2023), the characteristics of the wildland–urban interface and the occurrence of extreme wildfires, whose intensity and frequency are expected to increase owing to climate change (Keeley and Syphard 2016). As these events overwhelm fire suppression capabilities, a stronger focus on prevention and mitigation is required (Tedim *et al.* 2018, 2020).

Several factors contribute to reduce building losses such as an effective defensible space, landscape-scale factors including housing density and distance to major roads, building construction materials, like the standards for home siding, roof covering, door and window materials, and type of occupation (e.g. permanent house, occasional house, rented house) (Srivastava and Laurian 2006; CA.GOV 2010; Syphard *et al.* 2014, 2017; Syphard and Keeley 2019; Almeida *et al.* 2021; Samora-Arvela *et al.* 2023).

Spatial planning and development regulations are recognised as instruments for reducing wildfire hazard and exposure across the wildland–urban interface (Mowery *et al.* 2019, Mockrin *et al.* 2020; Gatti and McGee 2021; Gonzalez-Mathiesen *et al.* 2021). Whereas for other natural hazards (e.g. floods, coastal erosion), there is long experience of using spatial planning to reduce risk, in the wildfire domain remain, there are some critical gaps in knowledge and operationalisation strategies (Mockrin *et al.* 2020). This is because the traditional static approaches to spatial planning limit the consideration of new understandings about the dynamic temporal and spatial dimensions of wildfires, not accommodating risk reduction context-specific characteristics (Gonzalez-Mathiesen and March 2018; Gonzalez-Mathiesen 2020).

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The National Program for Spatial Planning Policy (NPSPP), the main Portuguese instrument for spatial planning at the national scale, that defines the strategies for other programs and plans, approved by Law No. 99/2019, states that the 'articulation of the master plans with the instruments of forest management, forest defense, and wildfire fighting, is paramount to build a more integrated planning approach that better responds to the challenges of the territories and to safeguard people and goods' (DGT 2019, p. 243).

The National Plan for Integrated Rural Fire Management (NPIRFM), which emanated from Resolution of the Council of Ministers No. 45-A/2020, established the need to implement the Integrated Management System for Rural Fires (IMSRF), which operating rules were enacted in the Decree Law No. 82/2021. This legal instrument defines the norms and institutional articulation processes in the integrated management of wildfires with two focuses of intervention: protection against wildfires, directed at the safeguarding of people and buildings, and rural fire management, directed at the defence and valorisation of rural territories, considering its protection role by reducing conditions for the occurrence and progression of wildfires. It proposes the integration of wildfire management into spatial planning.

With a higher focus on integrated management, protection of people and property from wildfires, and the development of rural areas, the NPIRFM revoked the previous National Plan for Defence of Forest against Wildfires (NPDFW - Resolution of the Council of Ministers No. 65/2006, 26 May), and its respective system of operation rules (IMSRF) revoked the previous Defence System of Forest Against Wildfires (DSFW), which had been instituted by the Decree Law No. 124/2006, following the NPDFW (Table 1). The previous NPDFW tried to eliminate wildfire risk, while the new NPIRFM advocates a

"living with fire" approach. As such, table 1 expresses a comparison between strategic goals of each national plan and the respective wildfire management systems created in its sequence.

As such, the previous requirement to draw up Municipal Plans for the Defence of Forests Against Wildfires (MPDFW) of the DSFW was replaced by the requirement of Municipal Programs for the Execution of Integrated Management of Wildfires established by the IMSRF.

In light of the goal of spatial planning and wildfire risk reduction alignment, the IMSRF, as the previous DSFW, stipulates the integration of wildfire hazard maps with five classes (very low, low, medium, high, and very high) in the Constraints Map of the Master Plans, and defines restrictions on building permits within and outside the areas of high and very high fire hazard (Table 2).

In this context, both systems proposed the integration of the wildfire hazard map in the Constraints Map of Master Plans, the difference being that the wildfire hazard map in the DSFW was produced by each municipality and focused on the municipal scale, whereas the wildfire hazard map in the IMSRF is developed at the national scale by the Portuguese Institute for the Conservation of Nature and Forests.

Unlike the Master Plans, the MPDFWs and their hazard maps were not legal spatial plans and were not directly binding on individuals as they did not meet the requirements of public advertising and public discussion, essential for the production of effects by any normative act, as enshrined in the respective Paragraph 2 of Article 119 and Paragraph 5 of Article 64 of the Portuguese Republic Constitution (Oliveira 2018), a situation only resolved by the Law No. 76/2017, 17 August.

Owing to this setback, the building permit constraints regarding the DSFW, although more prohibitive, did not

	National Plan for Defence of Forest against Wildfires (NPDFW)	National Plan for Integrated Rural Fire Management (NPIRFM)
	Resolution of the Council of Ministers No. 65/2006, May 26	Resolution of the Council of Ministers No. 45-A/2020, July 16
Strategic goals	Increasing territory resilience against wildfires	Valuing rural areas (understand land use and reshape forest management, redesign the forest management model, provide legal and financial incentives for developing rural areas)
	Reducing the incidence of wildfires	Change behaviours (reduce higher fire risk ignitions, introduce specialisation in risk communication)
	Improving suppression effectiveness and fire management	Active management of rural areas (design and promote a diverse landscape, reduce landscape fuel load, increase effectiveness of people and property protection)
	Enhancing recovery and rehabilitation of ecosystems	Efficient risk management (implement integrated planning by including risk assessment, implement an enabling risk governance model, redesign
	Creating an effective organic and functional structure	resource management, increase the qualification of professionals)
Operational system that was created subsequently	Defence System of Forest against Wildfires (DSFW) (Decree Law No. 124/2006, June 28)	Integrated Management System for Rural Fires (IMSRF) (Decree Law No. 82/2021, October 13)

	Defence System of Forest against Wildfire (DSFW)	Integrated Management System for Rural Fires (IMSRF)
	Decree Law No. 124/2006, June 28 (first version of the legal document)	Decree Law No. 82/2021, October 13
Main intervention focuses	Promote active forest management	Protection from wildfires, aimed at the safety and security of people, animals and property, behavioural change, adoption of self-protection measures and greater resistance of buildings
	Implement fuel management in strategic areas	Rural fire management, directed towards the defense and
	Reinforce the structures for fighting and defending forests against wildfires	promotion of the value of rural territories
	Educate and raise awareness regarding the defence of forests against wildfires and the correct use of fire	
	Adopt strategies for the rehabilitation of burnt areas	
	Reinforce surveillance and enforcement	
Ownership	Directorate-General for Forestry Resources, replaced by National Forestry Authority in 2008, which was merged with the Institute for the Conservation of Nature and Biodiversity in 2012, which originated from the Institute for the Conservation of Nature and Forests	Agency for the Integrated Management of Rural Fires
Legal status	Binding	Binding
Scale	It is a national system that directs municipal implementation	It is a national system that directs municipal implementation
Extent of coverage	Mainland Portugal (and islands with the necessary adaptations)	Mainland Portugal
Wildfire management plans	Obligation to define the Municipal Plans for the Defence of Forests Against Wildfires (MPDFW)	The MPDFW are going to be replaced by the Municipal Programs for the Execution of Integrated Management of Wildfires
Wildfire hazard map scale	Hazard map made at municipal scale with high accuracy regarding the local scale	The National Wildfire Hazard Map was made at national scale with lower accuracy regarding the local scale
Wildfire hazard map in spatial plans	Integration of the hazard map in the Constraints Map of the spatial plans	The same as DSFW
Building permit constraints in high and very wildfire hazard classes	Outside consolidated built-up areas, building construction was not allowed in high and very high hazard areas with some exceptions	In rural areas and outside rural settlements, building construction is not allowed in high and very high hazard areas with some exceptions

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l able 2.	Comparison	between th	ne previous	and current	: wildfire	management sy	/stems.

have much expression, owing to the lower representation of high and very high hazard areas. With the publication of the National Wildfire Hazard Map in March 2022, the high and very hazardous areas gained, in general terms, greater spatial representation, which led to a greater expression of the building permit constraints of the new IMSRF, despite having created more building permit exceptions than DSFW.

The main instrument for the alignment between spatial planning and wildfire management is the National Wildfire Hazard Map, which becomes one more layer of the Constraints Map of the Master Plan that is the main tool of spatial planning in Portuguese legislation at the local scale. The National Wildfire Hazard Map was produced using a methodology that considers deterministic factors (Verde and Zêzere 2010; Oliveira *et al.* 2021). The National Wildfire Hazard Map was calculated by multiplying fire probability

and susceptibility to wildfires. In this context, while the fire probability is derived from the probabilistic recurrence of fires, slope, elevation, aspect and land cover, susceptibility refers to potential damage, which consists of the product economic value and vulnerability (Verde and Zêzere 2010; Oliveira *et al.* 2021). The Master Plan informs spatial planning development and allocation of buildings permits. It regulates, at the spatial level, land use/land cover (LULC) change in order to promote sustainable development in a given municipal territory, while its Constraints Map shows restricted areas that limit desirable development, safeguarding the biophysical and cultural character of the territory, as well as avoiding the occupation of areas with the highest hazard index values.

This paper contributes to identifying challenges, strengths and potential impacts of the integration of the National Wildfire Hazard Map into the Master Plans. The overall research question is: what are the benefits and disadvantages of the National Hazard Map integration in the Constraints Map of the Master Plan? This paper is organised as follows: data and methos' section summarises the mixed-methods approach used to collect and analyse data; results' section identifies the difficulties that local governments face in the implementation of the new legislation, namely in the integration of the wildfire hazard map into spatial planning; discussion's section debates about the the alignment of spatial planning and wildfire risk reduction, the current limitations of the current National Wildfire Hazard Map, the potential impact of the current IMSRF on rural challenges, and the limitations of the research and its next steps; and finally, the conclusions are provided on the last section.

### Data and methods

#### **Review of legislation**

In this mixed-methods research, we started by reviewing the most recent legislation documents related to wildfire management and spatial planning published in mainland Portugal.

#### Data collection and analysis

As a first step, an online survey was sent between April and June of 2022 to 275 municipalities (the municipalities of Lisbon, Porto and São João da Madeira were excluded because they are only urban areas). The questionnaire consisted of two questions: (i) what are the main difficulties you face with the implementation of the IMSRF? (ii) do you agree with the decision to integrate the National Wildfire Hazard Map in the Constraints Map of the spatial plans? Please justify your reply.

The response rate was 64%. This exceeds the necessary minimum sample size (161 replies for a confidence level of 95% and a margin of error of 5%), using Kish (1995) and Laureano (2013) equations, for extrapolating conclusions from the results.

The 175 municipality responses were organised by five territorial regions within the IMSRF governance structure: North (with 53 replies; 62% of the region's municipalities), Centre (with 50 replies; 73% of the region's municipalities), Lisbon and Tejo River Valley (with 24 replies; 44% of the region's municipalities), Alentejo (with 25 replies: 53% of the region's municipalities) and Algarve (with 10 replies; 63% of region's municipalities) (Fig. 1). Thirteen municipalities chose to remain anonymous.

The data collected was analysed in NVivo software (QSR, 2022), version 1.6.1 (1137). Coding in NVivo enabled the categorisation of the responses to the survey's open-ended questions and consequently made it possible to count the frequency of each response category.

In a second step, a workshop was held at Guarda Municipal Council on 3 June 2022, where a Delphi questionnaire was

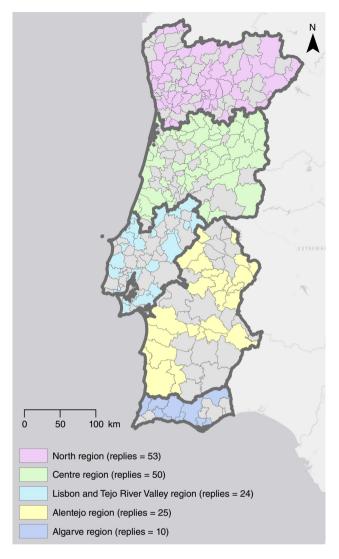


Fig. 1. Municipalities that responded to the online survey per region.

applied to 27 stakeholders with practice in spatial planning and wildfire management in order to determine the importance of the main categories emanating from the previous step. The difficulties identified and the justifications presented for accepting or rejecting the inclusion of the National Wildfire Hazard Map in the Master Plan Constraints Map in the national survey were presented and evaluated by the panel of the 27 experts, who chose 15 as the most important. Subsequently, the same panel was invited to rank the relative importance of each one through a one-round real-time Delphi survey supported by a 5-point Likert scale (1 – completely disagree, 2 - disagree, 3 - fairly agree, 4 - agree, 5 - completely agree).

Procedures for measuring consensus and agreement vary widely, so there are several criteria available to determine the convergence of opinion among Delphi participants (Rayens and Hahn 2000; von der Gracht 2012; Beiderbeck *et al.* 2021). In the present research, the interquartile range (IQR)

was used as a consensus criterion, where low values are an indicator of consensus ([0-1]), values of ]1-2[ indicate average consensus, and [2-3] refer to low consensus) (Hahn and Rayens 1999; von der Gracht 2012). According to Rayens and Hahn (2000), a null IOR range indicates complete consensus. The IQR of Delphi responses was calculated in Statistical Package for the Social Sciences 26 (IBM 2022). It was also decided to use the arithmetic mean (Mean) as an agreement criterion. In this sense, an arithmetic mean value above 3.5 (mainly integrating answers 4 – agree, and 5 – completely agree) expresses a tendency to agreement, while an average value lower than 2.5 (mainly integrating answers 1 - completely disagree, and 2 - disagree) reflects a discordant trend. The third criterion used was the percentage of concordant answers (answers 4 - agree, and 5 - completely agree) (Level of Agreement), which is also an agreement criterion that helps analysis of the response trend.

For analysis purposes, the statements that had an arithmetic mean above 3.5 and a level of agreement above 51% are presented in the results.

### Results

# Main difficulties faced by the municipalities with the implementation of the IMSRF

#### Results from the online survey

The forestry technicians that responded to the online survey identified several difficulties regarding the integration of the National Wildfire Hazard Map into the Constraints Map of the Master Plans (Table 3).

The main difficulties are:

i. 'New hazard map does not correspond to the existing reality in the territory' due to spatial resolution, and it is not based on the Master Plan's urban spaces; the redundancy of the previous hazard maps, among others aspects, were mentioned by 17% of the municipalities. The greatest worries are from municipalities of the Centre region (22% of replies) and North region (19% of replies) (Supplementary Table S1), which have  $\sim$ 50% of their territory in high and very high hazard classes, but also Alentejo (16% replies), where 56% of the surface presents low or no wildfire hazard.

- ii. 'LULC map (COS 2018: DGT 2018) does not reflect the existing reality at the local level', mentioned by 1% of the municipalities. It is a main worry from the Algarve region (10% of replies);
- iii. 'Obstacles to cultural and sporting activities', as articles 67 and 68 of the IMSRF establish the prohibition of many activities in high and very high hazard areas and on days with high Fire Weather Index. It was mentioned by 1% of the municipalities. This is a worry of many municipalities in the Centre region (4% of replies);
- iv. 'Difficulties in surveying and mapping burned areas' was mentioned by 1% of the municipalities. It is a worry of Alentejo municipalities (4% of replies);
- v. 'Confusion between the concept of hazard (structural) and Fire Weather Index (daily)', mentioned by 1% of the municipalities. It was stated by 4% of the municipalities in the Centre region.
- vi. 'Difficulty in understanding the criteria for defining hazardous areas' was mentioned by 2% of the municipalities from the Centre region;
- vii. 'The execution of the fuel management strips has contributed to colossal forest destruction' was mentioned in the North region (2% of replies) (1% of national replies);
- viii. 'The current dimension of the defensible space is not justified, as there is no gain in defence beyond 30 m' was mentioned by 1% of the municipalities of the North region (2% of North replies).

Table 3. Main difficulties faced by municipalities with the implementation of the IMSRF: responses to online survey (n = 175).

	Responses (n = 175)	%
Risk management tools		
New hazard map does not correspond to the existing reality in the territory (spatial resolution; not based on the Master Plan's urban spaces; redundancy of the previous hazard maps, among others)	30	17
LULC map (COS 2018) does not reflect the existing reality at the local level	2	I
Obstacles to cultural and sporting activities	2	I
Difficulties in surveying and mapping burned areas	2	L
Confusion between the concept of Hazard (structural) and Fire Weather Index (daily)	I	I
Difficulty in understanding the criteria for defining hazardous areas	I	L
The execution of the fuel management strips has contributed to colossal forest destruction	I	I
The current dimension of the defensible space is not justified, as there is no gain in defence beyond 30 m in size	I	I

#### **Consensus of the Delphi panel**

Subsequently, the robustness of the consensus in the Delphi survey responses (n = 27 experts) regarding the difficulties and needs for the integration of the hazard map in the Constraints Map of Master Plan was analysed. Those that generated a strong consensus were 'hazard map does not correspond to the existing reality in the territory (spatial resolution; not based on the Master Plan's urban spaces; redundancy of the previous hazard maps, among others)' (mean = 4.41; IOR = 1; level of agreement = 85%), 'LULC map (COS 2018) does not reflect the existing reality at the local level' (mean = 4.11; IOR = 1; level of agreement = 85%); 'confusion between the concept of hazard (structural) and fire weather risk (daily)' (mean = 3.93; IQR = 1; level of agreement responses = 78%); and 'difficulty in understanding the criteria for defining hazardous areas' (mean = 4.37; IQR = 1; level of agreement = 89%) (Table 4).

## Agreement with the decision to integrate the National Wildfire Hazard Map in the Constraints Map of the Master Plans

#### Results from the online survey

Regarding the integration of the National Wildfire Hazard Map in the Constraints Map of Master Plan, most municipalities agreed with their integration (74%) (Table 5). Within the regions, the representation of high and very high wildfire hazard areas in each region is shown in next figure (Fig. 2).

i. Lisbon and Tejo River Valley respondent municipalities agree with the integration (96% of region's replies,

where high and very high hazardrepresent 24% of the territory);

- ii. Alentejo respondent municipalities agree with the integration (92% of region's replies, where high and very high hazard represent 5% of the territory);
- iii. North region respondent municipalities agree with the integration (79% of region's replies, where high and very high hazard represent 49% of the territory);
- iv. Centre region respondent municipalities agree with the integration (74% of region's replies, where high and very high hazard represent 51% of the territory);
- v. Algarve region respondent municipalities agree with the integration (60% of region's replies, where high and very high hazard represent 34% of the territory).

However, both those who agree and those who disagree presented suggestions for improvement on this subject.

The concordant responses were categorised into decision making, hazard map methodology and expected impacts.

Regarding decision making, the concordant respondents stated that 'the integration of the hazard map facilitates decision making regarding the licensing of construction and expansion of buildings in rural areas depending on the hazard' (21% of national replies; 33% of Lisbon and Tejo River Valley; 24% of Alentejo region replies; 21% of North region replies; 20% of Algarve region replies; 14% of Centre region replies).

In addition, 'the integration of the hazard map in the Constraints Map of the Master Plan is necessary in order to be binding for individuals' (3% of national replies; 4% of North region replies; 4% of Centre region replies; 4% of Alentejo region replies).

**Table 4.** Main difficulties faced by the municipalities with the implementation of the IMSRF: consensus analysis of Delphi panel responses (n = 27).

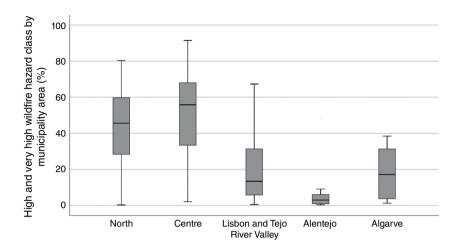
	Mean of Delphi group (n = 27)	Interquartile range (IQR) [0–1] Major consensus ]1–2[ Average consensus [2–3] Low consensus	Level of agreement responses = agreements/ opinions expressed (%)
Risk management tools			
Hazard map does not correspond to the existing reality in the territory (spatial resolution; not based on the Master Plan's urban spaces; redundancy of the previous hazard maps, among others)	4.40	I	85
LULC map (COS) 2018 does not reflect the existing reality at the local level	4.11	I	85
Confusion between the concept of hazard (structural) and Fire Risk (daily)	3.92	I	78
Difficulty in understanding the criteria for defining hazardous areas	3.85	I	70
Obstacles to cultural and sporting activities	3.75	2	54
The execution of the fuel management strips has contributed to colossal forest destruction	3.41	3	48
The current dimension of defensible space is not justified, as there is no gain in defence beyond 30 m in size	3.41	2	48
Difficulties in surveying and mapping burned areas	3.00	2	33

**Table 5.** Agreement with the decision to integrate the wildfire hazard map in the Constraints Map of Master Plans: responses to online survey (n = 175).

	Responses (n = 175)	%
Yes	130	74
Decision marking		
The integration of the hazard map facilitates decision making regarding the licensing of construction and expansion of buildings in rural areas depending on the hazard	37	21
The integration of the hazard map in the Constraint Map of the Master Plan is necessary in order to be binding for individuals	5	3
The hazard map must be integrated into the Constraints Map of Master Plans only if it is prepared by the municipality	L	I
Hazard map methodology		
The methodology of the hazard map standardises the criteria, at a national level, for the development of this cartography, leaving no room for discrepancies	5	3
The methodology for executing the hazard map should be reviewed and should be dynamic	4	2%
The artificialized territories in the LULC map (COS 2018) do not correspond to the urban spaces of the Master Plan, which makes procedural analysis difficult in the context of constraints to the construction of the IMSRF	2	I
Expected impacts		
But the National Wildfire Hazard Map leads to major restrictions	3	2
The hazard map must not lead to the prohibition of occupation of rural areas, increasing their vulnerability, abandonment, and inherently hazardousness	I	I
Hazard index encourages the management of rural areas insofar as the less dangerous nature of the plots will increase their financial value	I	I
Νο	45	26
Expected impacts		
The National Wildfire Hazard Map leads to major restrictions	9	5
Mismatches in the planning framework		
Hazard index, owing to its dynamic nature, becomes impracticable for transposing to Master Plan's Constraints Map	5	3
There is an incompatibility between the rigidity of the Spatial Plans and the dynamics of transformation of the territory	I	I
The hazard map must maintain its independent character from the Spatial Plans, supporting forestry planning and rural fire management	I	I
Legal environment		
The hazard map binds individuals without having been published for public consultation	3	2
Hazard map methodology		
The hazard mapping should be carried out by the municipalities	I	I
The hazard map was created by identifying spaces with high fire recurrence and from a standpoint of definition of defence areas, so it cannot be used as a building constraint	I	I

Analysing the hazard map methodology, one of its positive aspects is 'the criteria, at a national level, for the development of this cartography, leaving no room for discrepancies' (3% of national replies; 8% of Lisbon and Tejo River Valley replies; 2% of North region replies). Nevertheless, there are municipalities that established some conditions like 'the methodology for executing the hazard map should be reviewed and should be dynamic' (2% of national replies; 6% of North region replies), 'the artificialised territories in the LULC map (COS 2018) do not correspond to the urban spaces of the Master Plan, which makes building permit licensing difficult' (1% of national replies; 10% of Algarve region replies; 2% of Centre region replies), and that the 'hazard map must be integrated into the Constraints Map of Master Plan only if it is prepared by the municipality' (1% of national replies; 2% of North region replies).

About the expected impacts, the concordant respondents acknowledge that 'the structural hazard map leads to major restrictions' (2% of national replies; 4% of Centre region replies), 'the hazard map must not lead to the prohibition of occupation of rural areas, increasing their vulnerability, abandonment, and inherently, increasing hazardousness'



**Fig. 2.** Percentage of high and very high wildfire hazard class by municipality area in each region.

(1% of national replies; 10% of Algarve region replies), and 'hazard index encourages the management of rural areas insofar as the less dangerous nature of the plots will increase their financial value' (1% of national replies; 4% of Lisbon and Tejo River Valley replies).

Discordant respondents, however, presented answers that were grouped into four themes: expected impacts, mismatches in the planning framework, legal framework and hazard map methodology.

For these respondents, the main expected impacts were related to major restrictions determined through the hazard map (5% of national replies; 10% of Algarve region replies; 6% of North region replies; 6% of Centre region replies).

Within mismatches in the planning framework, municipalities stated that the 'hazard index, due to its dynamic nature, becomes impracticable to be transposed into the Master Plan's Constraints Map' (3% of national replies), 'there is an incompatibility between the rigidity of the spatial plans and the dynamics of transformation of the territory' (1% of national replies; 2% of Centre region replies), and that 'the hazard map must maintain its independent character from the spatial plans, supporting forestry planning and rural fire management' (1% of national replies).

Among legal aspects, municipalities stated that the hazard map binds individuals without having been published for public consultation' (2% of national replies; 10% of Algarve region replies; 4% of Centre region replies).

Regarding to hazard map methodology, 'the hazard mapping should be carried out by the municipalities' (1% of national replies; 2% of North region replies) and 'the hazard map was created by identifying spaces with high fire recurrence and from a standpoint of definition of defence areas, so it cannot be used as a building constraint' (1% of national replies; 2% of North region replies).

It is not surprising that the highest participation rate and greatest concern with hazard-related restrictions was from municipalities in the North and Centre regions, as these are the regions that have  $\sim$ 50% of their territory in high and very high hazard index areas (Fig. 2, Table 6).

The greatest agreement with the integration of the National Wildfire Hazard Map in the Constraints Map of Master Plans can be seen in municipalities in the southern regions of the country (Lisbon and Tejo River Valley, Alentejo and Algarve), while municipalities further north (North and Centre region) are more resistant.

#### Consensus of the Delphi panel

Regarding the justification of the concordant and discordant answers, and the suggestions for improvement, there is consensus in (Table 7):

- i. 'the methodology for executing the hazard map should be reviewed and should be dynamic' (mean = 3.56; IQR = 1; level of agreement = 89%);
- ii. 'the hazard map binds individuals without having been published for public consultation' (mean = 4.15; IQR = 1; level of agreement = 77%);
- iii. 'the hazard mapping should be carried out by the municipalities' (mean = 4.00; IQR = 2; level of agreement = 69%).

Below the arithmetic mean of 4, there is consensus in relation to:

- i. 'the National Wildfire Hazard Map leads to major restrictions' (mean = 3.96; IQR = 0; level of agreement = 81%); 'the integration of the hazard map in Constraints Map of Master Plan is necessary in order to be binding for individuals' (mean = 3.89; IQR = 2; level of agreement = 63%);
- ii. 'the hazard map must not lead to the prohibition of occupation of rural areas, increasing their vulnerability, abandonment and inherently increasing hazardousness' (mean = 3.85; IQR = 2; level of agreement = 63%);
- iii. 'there is an incompatibility between the rigidity of the Spatial Plans and the dynamics of transformation

Wildfire hazard index in the territory of the municipalities that responded	North $(n = 53)$	Centre (n=50)	Lisbon and Tejo River Valley ( <i>n</i> = 24)	Alentejo (n = 25)	Algarve (n= 10)	Municipalities that chose not to identify themselves	National (n = 175)
Area without hazard index (ha)	118 975 (9%)	103 268 (6%)	80 175 (13%)	74 514 (5%)	36 262 (10%)	N/a	413 195 (8%)
Area with very low hazard index (ha)	59355 (4%)	89 066 (6%)	156 232 (26%)	716 084 (51%)	80 814 (22%)	N/a	I I0I 552 (21%)
Area with low hazard index (ha)	180 930 (13%)	287 374 (18%)	137713 (23%)	405 040 (29%)	59 785 (16%)	N/a	I 070 843 (20%)
Area with average hazard index (ha)	343 194 (25%)	306 154 (19%)	82 610 (14%)	146 187 (10%)	69 450 (19%)	N/a	947 595 (18%)
Area with high hazard index (ha)	324 115 (24%)	406 919 (26%)	89 613 (15%)	60 486 (4%)	64 132 (17%)	N/a	945 266 (18%)
Area with very high hazard index (ha)	327 491 (25%)	402 953 (25%)	63 100 (9%)	7882 (1%)	64 132 (17%)	N/a	865 558 (15%)
Total	1 354 061	I 595 735	609 443	1410193	374 576	N/a	5 344 008

of the territory' (mean = 3.81; IQR = 2; level of agreement = 62%);

- iv. 'the hazard map must be integrated into the Constraints Map of Master Plan only if it is prepared by the municipality' (mean = 3.70; IQR = 2; level of agreement = 67%);
- v. 'the hazard map was created by identifying spaces with high fire recurrence and from a standpoint of definition of defence areas, so it cannot be used as a building constraint' (mean = 3.69; IQR = 2; level of agreement = 54%);
- vi. 'the integration of the hazard map facilitates decision making regarding the licensing of construction and expansion of buildings in rural areas depending on the hazard' (mean = 3.59; IQR = 2; level of agreement = 63%);
- vii. 'the artificialized territories in the LULC map (COS 2018) do not correspond to the urban spaces of the Master Plan, which makes building permit licensing difficult' (mean = 3.56; IQR = 1; level of agreement = 63%);
- viii. 'the hazard index, owing to its dynamic nature, becomes impracticable to be transposed into the Master Plan's Constraints Map' (mean = 3.52; IQR = 1; level of agreement = 52%).

### Discussion

# The alignment of spatial planning and wildfire risk reduction

The current spatial planning framework in Portugal is hierarchical and very normative at the municipal level and should try to move from a strict land-use zoning approach, namely with a consistent development strategy for a given territory (Ferrão 2011). In this sense, Cavaco *et al.* (2022) advocate a soft planning approach, supported by principles of strategic planning, policy integration, collaborative governance and long-term vision, proposing the consideration of 'alternatives scales, rather those of statutory powers, where soft spaces feature the creation of new levels of geographical resolution to address specific territorial phenomena in a place-based and tailor-made view' (Cavaco *et al.* 2022, p. 15).

Although the alignment of spatial planning and wildfire risk reduction is paramount, it should be supported by an approach that accommodates the different landscape scales, the local context, and the dynamic and temporal dimensions of wildfire risk (Gonzalez-Mathiesen *et al.* 2021), avoiding static, simplistic and deductive approaches that limit new understandings about the dynamic temporal and spatial dimensions of wildfires and do not take into account risk reduction context-specific characteristics (Gonzalez-Mathiesen and March 2018; Gonzalez-Mathiesen 2020).

In the current context of aligning wildfire fire risk reduction with spatial planning, the integration of the National **Table 7.** Agreement with the decision to integrate the wildfire hazard map in the Constraints Map of Master Plans: consensus analysis of Delphi survey responses (n = 27).

	Mean of Delphi group (n = 27)	Interquartile range (IQR) [0–1] Major consensus ]1–2[ Average consensus [2–3] Low consensus	Level of agreement responses = agreements/ opinions expressed (%)
The methodology for executing the hazard map should be reviewed and should be dynamic	4.37	I	89
The hazard map binds individuals without having been published for public consultation	4.15	I	77
The hazard mapping should be carried out by the municipalities	4.00	2	69
The National Wildfire hazard map leads to major restrictions	3.96	0	81
The integration of the hazard map in the Constraints Map of Master Plans is necessary in order to be binding for individuals	3.89	2	63
The hazard map must not lead to the prohibition of occupation of rural areas, increasing their vulnerability, abandonment, and inherently increasing hazardousness	3.85	2	63
There is an incompatibility between the rigidity of the Spatial Plans and the dynamics of transformation of the territory	3.81	2	62
The hazard map must be integrated into the Constraints Map of Master Plans only if it is prepared by the municipality	3.70	2	67
The hazard map was created by identifying spaces with high fire recurrence and from a standpoint of definition of defence areas, so it cannot be used as a building constraint	3.69	2	54
The integration of the hazard map facilitates decision making regarding the licensing of construction and expansion of buildings in rural areas depending on the hazard	3.59	2	63
The artificialized territories in the LULC Map (COS 2018) do not correspond to the urban spaces of the Master Plan, which makes building permit licensing difficult	3.56	I	63
Hazard index, owing to its dynamic nature, becomes impracticable to be transposed into Master Plan's Constraints Map	3.52	I	52
The hazard index encourages the management of rural areas insofar as the less dangerous nature of the plots will increase their financial value	3.15	2	44
The hazard map must maintain its independent character from the Spatial Plans, supporting forestry planning and rural fire management	3.15	2	42
The methodology of the hazard map standardises the criteria, at a national level, for the development of this cartography, leaving no room for discrepancies	3.15	I	37

Wildfire Hazard Map in the Constraints Map of spatial plans crystallises the spatialisation of the areas with the greatest wildfire hazard, given the trend that master plans are only revised after 10, 20 or more years. Thus, it will fail to assume the impact of fuel management initiatives and the dynamics of LULC change on the hazard index reduction.

# The limitations of the current National Wildfire Hazard Map

The National Wildfire Hazard Map methodology considers as predisposing factors slope, elevation, aspect and LULC, but there is, for instance, no evidence of the influence of elevation in wildfire occurrence and behaviour (Tedim *et al.* 2018). The scientific support for the threshold for each class for the independent variables (slope and elevation) is not clearly presented and the spatial resolution of the data inputs is not the appropriate one. In this context, the dependent variable considered is the burnt area. However, burnt area is a rather weak descriptor of wildfire activity, as it is not well correlated either with fire intensity or the heterogeneity of fire severity distribution within fire boundaries (including the existence of unburned patches), or with socio-economic impacts (e.g. Tedim *et al.* 2014, 2016). The diversity of

characteristics exhibited by unburned patches inside a fire perimeter shows that their formation is not tied to static landscape characteristics (e.g. slope, elevation, aspect) but they occur as a result of complex interactions of those factors with the dynamic factors of landscape, mainly local ones (Tedim *et al.* 2018). Thus, the methodology used is too simplistic and fuel management activities that may be done to reduce wildfire hazard are not going to make any difference in the hazard map and in the planning of suppression strategy. To support wildfire prevention, mitigation and preparedness, wildfire hazard must be considered in a dynamic way (e.g. USDA 2022) and mainly accommodate the factors related to the probability of ignition and those (e.g. fuel moisture, fuel load, types of fuel) affecting fire behaviour (e.g. fire intensity, rate of spread, spotting) (Oom *et al.* 2022).

Based on the factors mentioned, the National Wildfire Hazard Map displays pixels of  $625 \text{ m}^2$  ( $25 \times 25 \text{ m}$ ), which constitute an area polygon in a given hazard class that is too generalistic to support building permit constraints.

Another constraint of the current hazard map is the accuracy of the data used to calculate the slope and LULC. Regarding slope calculation, the National Wildfire Hazard Map was assessed from a Digital Elevation Model (DEM) with a spatial resolution of 25 m (Oliveira et al. 2021). In this context, it is imperative to use a Digital Terrain Model with the maximum possible spatial resolution, so that the areas with the greatest hazard are identified with high accuracy. The accuracy between reality and what is mapped is also a challenge in terms of LULC mapping. On this subject, the LULC map (COS) with a minimum mappable size of 1 ha is commonly used. It is important to consider the disadvantages of COS use at the local scale, depending on its level of accuracy and on the generalisation rules adopted at the time of its preparation. The LULC vectorial map derives from orthophotomap interpretation related to the previous years (up to 2 years before), with a spatial resolution of 20 linear meters and with the mentioned 1 ha in terms of minimum mappable area. From this combination of factors, the COS map, when used, is already out of date.

Another issue is that its spatial resolution is not compatible with identification of isolated housing, scattered housing or road networks. For master plans, the maps should be done at a scale of at least 1/1000, so that human infrastructure is effectively represented (buildings and local road networks). In this context, an opportunity in calculating fire hazard is the use of LULC maps with higher resolution and smaller mappable units that allows greater accuracy to the real LULC, carried out with a constant periodicity that contributes to the expression of the dynamic influence of LULC changes on the fire hazard index of a given territory. LULC is a dynamic factor that can constantly change, such as, for example, through the management of fuel recommended in the IMSRF, and the changes of species in forests, which leads to a reduction in hazard.

In this way, to improve the calculation of fire hazard is an emerging challenge, given the necessary pursuit for permanently updated inputs with greater accuracy. Without taking this into account, integrating fire hazard into the Constraint Map of the Master Plans could fail as a

dynamic and accurate character of LULC changes. With regard to the fire recurrence factor, it should be noted that the high frequency of fires leads to less fuel accumulation, which tends to generate less intense fires. When fires are infrequent, there is a high accumulation of fuel, which leads to the infrequent occurrence of high-intensity fires.

risk reduction strategy owing to the lack of recognition of the

Regarding the factors currently considered for the calculation of the hazard index, it is important to mention that they do not apply to extreme wildfires, given their intensity and degree of uncertainty (Tedim *et al.* 2018, 2020). As such, integrating risk reduction in spatial planning is paramount, but the strategy to be carried out must be dynamic and adaptive, without yielding to the temptation of completely eliminating uncertainty and risk, which is impossible and can produce the opposite effect.

The approach adopted in Portugal is still characterised by a traditional static hazard mapping approach, where there are difficulties in adapting the hazard map to local reality, and in understanding the rationale of the criteria for defining hazard classes, the spatial resolution and accuracy of their inputs. The evidence of the results pointed out that most of the municipal technicians are favourable to the integration of the National Wildfire Hazard Map in the Constraints Map of Spatial Plans, but most also identified problems in the current approach. However, the National Wildfire Hazard Map provoked huge opposition from municipalities and was suspended by Decree Law no. 49/2022 of 19 July. The municipalities have different geographical characteristics, socio-economic dynamics, and institutional and political environments that frame the respondents' replies. In addition, the results of the research raise an important question: do the respondents have a common understanding of the wildfire hazard? 'Scientific knowledge is in continuous evolution, and different approaches can be used. However, the 'nature' of fire problem still lacks a common understanding, both ecologically and socially. The increasing production of wildfire scientific knowledge has not been accompanied by a high impact on the ground for people, society, and the environment and has not improved the current wildfire management system' (Tedim and Leone 2020, p. 11).

# Potential impact of the current IMSRF on rural challenges

The municipalities of North and Centre regions show greater resistance to the integration of the National Wildfire Hazard Map in the Constraint Map of Master Plans. This is due to the greater territorial extent of the wildfire high and very high hazard classes in the municipalities of these regions, which end up with greater impact of the building permits associated with wildfire hazard. This resistance is not shared by municipalities in the south of the country (Lisbon and Tejo River Valley, Alentejo, and Algarve), where the wildfire high and very high hazard classes areas are much less expansive.

In this context, the static approach is based on conditioning building construction according to the hazard classes defined in the National Wildfire Hazard Map, thus determined according to the accuracy of the inputs and its regulatory nature. As for the integration of the National Wildfire Hazard Map in the Master Plans, it was discussed that hazard cartography can undergo annual changes, and it makes no sense to integrate it into a constraint map of the Master Plan that is only reviewed every 10 years.

These building permit constraints, based on hazard classes, are very restrictive, which can discourage the investment and the attraction of people to live in rural areas, aggravating the depopulation of rural areas in Portugal (Tedim *et al.* 2023). With the decreasing number of inhabitants in rural areas, there could be even fewer means for fuel management activities, thus increasing the wildfire hazard (Tedim *et al.* 2023).

#### Limitations of the research and next steps

This research had an exploratory purpose. It should be noted that the national survey of the municipal technicians, based on answers to a questionnaire of open-ended questions, was intended to capture the myriad of opinions concerning the alignment between spatial planning policies and the implementation of the new IMSRF. It created a free space for reflection, based on the experience, knowledge and beliefs of each respondent, regardless of the institution where they worked (Tedim and Paton 2012). The advantage of this procedure is that it enabled free expression of opinion and did not guide the possible replies to a limited number of options. In this case, the results could be slightly variable. Therefore, the inputs from the open-ended questions were further validated through expert opinions using the Delphi method. Nevertheless, we consider that more research should be done to guarantee that there is a common understanding of the problem and to identify awareness gaps.

Therefore, the great opportunity for now is that the current methodology of the National Wildfire Hazard Map should be reviewed in order to be more dynamic. Some issues should be improved such as public consultation for this hazard map, which should, according to municipal technicians and experts, be prepared by municipalities and should be assessed with inputs of better resolution. All the instrumental factors defining the National Wildfire Hazard Map led to a greater increase in high and very high hazard areas in the national territory. Although it is considered that the hazard map should be integrated into the constraint map of Master Plans in order to be binding for individuals, the hazard map should not lead to prohibition of the occupation of rural areas, increasing their vulnerability and abandonment, which could also lead to an increase in hazardousness. There is an incompatibility between the rigidity and slowness of reviewing the Master Plans and the transformation of the territory, not expressing its dynamics of LULC changes on spatial plans, and inherently on the hazard index.

On the one hand, some experts understand that the integration of the hazard map facilitates decisions and the licensing of building construction, but on the other hand, they also consider that the hazard index, owing to its dynamic nature, should not be transposed onto the constraint map of Master Plans.

Considering the long period of validity of the Master Plans and the length of its revision, spatial planning constraints do not cease to be an instrument for safeguarding the abiotic, biotic and cultural suitability of the territory, whose temporal crystallisation of most permissions and restrictions related to other hazards seems to be appropriate.

### Conclusions

Responding to the research question, the integration of the National Wildfire Hazard Map is considered a facilitating factor in the licensing process for building permits. However, limitations are recognised that must be overcome. It is proposed to review the methodology taking into account the dynamic nature of wildfire hazard and to assume that municipalities should carry out their hazard mapping, because they know their local reality, in order that the IMSRF does not lead to exaggerated restrictions on the development of municipalities.

The integration of the National Wildfire Hazard Map into the constraints map of the Master Plan represents a challenge, considering the high intra-annual and inter-annual variability of fire hazard, the long-term definition of the municipal development model and the methodology (including variables used and data accuracy) used to assess hazard. The procedure imposed by the current wildfire policy will create building permit constraints that can limit the development of rural areas, the growth of rural agglomerations and the development of productive activities. The main benefit is the simplification of the building licensing process decision, but currently owing to an overwhelmingly strong request from the municipalities, the application of the National Wildfire Hazard Map was suspended.

The current existing static regulation system leads to growing mismatches, and to potential environmental, economic and social losses. The integration of spatial planning and wildfire risk reduction policies should be more comprehensive and dynamic in looking for new models. Multisector approaches that will be able to mobilise approaches in an adaptive regulation system are paramount and, in this sense, the present paper identified the need to look for new models for integrating wildfire risk reduction's most pressing opportunities to improve hazard map and spatial plans alignment.

# Supplementary material

Supplementary material is available online.

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