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Francisco Stocker Ferreira de Castro

Incidence trends of Inflammatory Bowel Disease in a Southern-European  
country: a mirror of the western world?

MARÇO, 2022

FMUP

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Incidence trends of Inflammatory Bowel Disease in a Southern-European  
country: a mirror of the western world?

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Eu, Francisco Stocker Ferreira de Castro, abaixo assinado, nº mecanográfico 201603440, estudante do 6º ano do Ciclo de Estudos Integrado em Medicina, na Faculdade de Medicina da Universidade do Porto, declaro ter atuado com absoluta integridade na elaboração deste projeto de opção.

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TÍTULO DISSERTAÇÃO/~~MONOGRAFIA~~ (riscar o que não interessa)

Incidence trends of Inflammatory Bowel Disease in a Southern-European country: a mirror of the western world?

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## **Dedicatória**

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**TITLE:** Incidence trends of Inflammatory Bowel Disease in a Southern-European country: a mirror of the western world?

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**Specific author contributions**

MS and FS were involved in data analysis, interpretation, and manuscript drafting; CCD was involved in data interpretation and manuscript revision; FM coordinated the study's conception, design and was involved in data interpretation and manuscript revision. PM, RG, DC, FP, and LC were involved in data interpretation and manuscript revision. All authors approved the final version of the manuscript.

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**Potential Competing Interests**

FM served as a speaker and received honoraria from Merck Sharp & Dohme, Abbvie, Vifor, Falk, Laboratórios Vitória, Ferring, Hospira, and Biogen. The other authors have no conflict of interest to disclose.

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## **STUDY HIGHLIGHTS**

### **What is known**

- Inflammatory Bowel Disease (IBD) affects people from all age categories worldwide.
- The incidence of IBD is stabilizing or decreasing in most western world countries; however, its prevalence is still increasing due to the increase of life expectancy and better disease management.

### **What is new here**

- This study is the first of its kind conducted in our country with nationwide coverage data from official state sources.
- During the study period, the incidence rate of IBD in Portugal decreased approximately 12%. New cases were more frequent in female patients, in the age category of 20 to 39 years old, as well as in the North and the Lisbon Metropolitan Area regions.
- Between 2017 and 2019, the average incidence was 20 new cases of IBD per 1,000 person-year.
- Additionally, it was predicted that, in December 2023, IBD incidence would reach 305.4 new cases [95% Prediction Interval (PI) 156.6 – 454.3], a similar result to the values forecasted for December 2021 (305.4, 95% PI 197.3 – 413.6).

### **Translational impact**

- The presented data, along with the forecasting analysis, is of the utmost importance not only for the characterization of IBD in a Southern-European country but as a potential mirror of disease behavior in the western world. Ultimately, for establishing a plan of action to face the challenges of the disease

in a setting of compounding prevalence.

## ABSTRACT

**Background:** Inflammatory Bowel Disease (IBD) affects people from all age categories worldwide. While the incidence of the disease is stabilizing or decreasing in most western world countries, its prevalence is still increasing due to the rise in life expectancy and better disease management. This work intends to identify the trends related to IBD incidence nationwide, analyzing regional, sex, and age distributions.

**Methods:** Data were provided by the Portuguese Shared Services of the Ministry of Health. The study consisted of a retrospective analysis of all first consultations coded for "Chronic enteritis/ulcerative colitis" (D94), in a primary healthcare setting, between 2017 and 2020, in Portugal. The primary outcome measure was the IBD incidence rate per 100,000 inhabitants. We also calculated the incidence rate per person-year and forecasted incidence until 2024.

**Results:** Between 2017 and 2019, the incidence rate of IBD in Portugal decreased from 54.9 to 48.6 per 100,000 inhabitants. The average incidence was 20 new cases of IBD per 1,000 person-year. It was predicted that, in December 2023, IBD incidence would reach 305.4 new cases [95% Prediction Interval (PI) 156.6 – 454.3], a similar result to the values forecasted for December 2021 (305.4, 95% PI 197.3 – 413.6).

**Discussion:** The incidence of IBD slightly declined from 2017 to 2019, and it is posed to stabilize in the future. The presented data is of the utmost importance for the characterization of IBD in Southern-European countries and the establishment of future health policies in the setting of compounding prevalence in the western world.

**Keywords:** IBD; epidemiology; incidence; forecasting; time series

## INTRODUCTION

Crohn's disease (CD) and Ulcerative Colitis (UC) are the two main diseases of the spectrum of Inflammatory Bowel Disease (IBD). The etiology of IBD is still under evaluation, and multiple factors, including environmental ones, have been associated with its appearance and development [1]. IBD affects mainly people between the second and fourth decade of life [2]. The chronic nature of these conditions demands continuous care, including hospitalization and surgery, and expensive treatments such as biological therapies. Thus, IBD is a significant burden for most healthcare systems [3].

Although data from developing countries are scarce, several reports indicate that IBD prevalence is increasing worldwide [4]. IBD has increased with industrialization and has the highest incidence and prevalence in Western Europe and North America [5].

However, the incidence rates in newly industrialized countries, such as Africa, Asia, and Southern America, accelerated at the turn of the century [6–8]. In consequence, the prevalence in those countries increased in the following years [4,5,9]. On the other hand, IBD incidence in Western countries is reaching a plateau, following its growth in the 20th century [9]. Even though the prevalence of the disease is still increasing due to the rising number of patients living with the disease owing to the reduction of mortality caused by recent innovative therapies [10], it is estimated that, in the next decade, more than 10 million people will be living with IBD, with more than 3.48 million people in the United States alone [10,11].

In this context, it is essential to understand and predict the evolution trends of IBD incidence and prevalence in the upcoming years, particularly in the Western world. An effective means to better understand and manage IBD is by collecting official statistics data that may also provide information regarding environmental risk factors. Studying this data with forecast models will deliver valuable information for adapting healthcare systems to the future reality, resulting in improved healthcare resources management

and the development of cost-effective health policies in the setting of IBD increasing prevalence [11,12].

In Portugal, statistics on IBD epidemiology are sparse. In 2017, Azevedo *and colleagues* demonstrated, through a pharmaco-epidemiologic approach, that Portugal was between countries with the highest and lowest IBD prevalence. The prevalence was similar for both CD and UC, increasing since 2003 [13].

Our study aims to identify the trends of IBD incidence in Portugal, with region, sex, and age-specific distributions. In addition, based on this data, we intend to evaluate the future incidence of IBD in Portugal, until 2024, through forecasting models. This can be useful to establish incidence behavior and define policies, guidelines, and milestones for prevalence stabilization.

## **METHODS**

### **Study design and data source**

This study consisted of a retrospective analysis of all first consultations coded for "Chronic enteritis/ulcerative colitis" (International Classification of Primary Care-2 code: D94), in a primary healthcare setting, between January 2015 and April 2020 in mainland Portugal. Data were provided by the Portuguese Shared Services of the Ministry of Health (SPMS). All possible patient identifiers were encrypted to ensure patients' confidentiality.

For each consultation, the following data were provided: patient identifier (encrypted), age at diagnosis, sex, institution identifier (encrypted), region, and diagnosis date. All study procedures were approved by the São João Hospital Center Ethics Committee on 14 September 2018 and conducted following the Declaration of Helsinki.

### **Outcomes**

The primary outcome measure was IBD incidence rate per 100,000 inhabitants, which was calculated by dividing the number of new diagnoses during the study period (numerator) by the total number of inhabitants (denominator) multiplied by a factor of 100,000 each year (incidence rate for 2020 was not estimated since only data from January until April were available). The total number of inhabitants in mainland Portugal in the years under study, considered for the computation of the rates, was obtained from the National Institute of Statistics.

Other outcome measures included: 1) incidence rate per person-year, which was calculated by dividing the number of new diagnoses during the study period (numerator) by the sum of each patient's age at diagnosis (denominator), multiplied by a factor of 1000; and 2) forecasted incidence, based on the estimation the number of new diagnoses per month during the study period and application of several forecasting models to predict incidence values until 2024.

## Statistical analysis

To prevent prevalence mixing with incidence cases, we performed a washout period of two years. Therefore, we analyzed data from January 2017 to April 2020.

Incidence rates per 100,000 inhabitants were analyzed by year and stratified by sex (male and female), age (four categories: 0-19, 20-39, 40-59,  $\geq 60$  years old; three categories according to the Montreal classification: 0-16, 17-40,  $>40$ ), and region according to the Nomenclature of Territorial Units for Statistics (NUTS) II (North, Center, Lisbon, Alentejo, Algarve).

Additionally, we performed a sensitivity analysis by including solely the cases presenting more than one consultation with the D94 code. Confidence intervals were used to assess statistical differences between the primary and sensitivity analyses.

We used several forecasting models to estimate future incidence. First, data outliers and anomalies were removed from the time series. Second, the stationarity of the time series was assessed. Since stationarity was not observed, differencing was used to achieve it. To choose the best forecasting model, we applied time series cross-validation to identify the one presenting the smallest forecasting error, such as mean square error and root mean square error (**Supplementary Table 1**). We selected the model based on Seasonal and Trend decomposition with Loess (STL) and AutoRegressive Integrated Moving Average (ARIMA), according to which forecasts are produced by subtracting the seasonality estimated using STL, and, then, forecasting the deseasonalized data via the ARIMA model. STL uses the Loess (LOcal regrESSion) method to decompose the time series into its trend and seasonal components using polynomial regression [14]; then, an ARIMA model is applied to the remainder element generating the final forecast after summation of all components. ARIMA models are defined by the parameters ( $p$ ,  $d$ ,  $q$ ), where  $p$  corresponds to the order of autoregression,  $d$  is the degree of differencing, and  $q$  refers to the order of the moving average segment. We applied an ARIMA (0, 1, 1) model, chosen due to its

lower corrected Akaike information criteria and non-detection of correlated residuals (assessed visually and by the Ljung-Box test).

The observed incidence, using incident cases from 01/01/2017 to 30/04/2020, at monthly intervals, were imputed into R and forecasted to December 2023, with 95% prediction intervals.

Prediction intervals depend on the analysis' period. The longer the forecasted time, the higher the uncertainty, and thus the broader the prediction intervals. Therefore, we have decided to forecast only a short period in the future.

Statistical analysis was performed using SPSS (version 27.0.1.0) and R statistical software (version 3.6.1) and RStudio (version 1.2.1335).

## RESULTS

The incidence rate of IBD in Portugal, between 2017 and 2019, was 54.9 and 48.6 per 100,000 inhabitants, respectively, with a slight reduction in disease incidence, throughout the study period, of about 12% (**Figure 1, Supplementary Table 2**).

Moreover, new cases were more common among females than males (F vs. M, 2017-2019: 57.1 – 51.1 vs. 52.5 – 45.9) (**Figure 1, Supplementary Table 2**).

Incidence distribution was heterogeneous nationwide, with more new cases in the Northern region of Portugal and the Lisbon Metropolitan Area. We observed a decreasing trend in the North (2017-2019: 62.6 – 60.8) and Lisbon (2017-2019: 58.4 – 47.7). On the other hand, the Center region presented lower rates (2017-2019: 45.3 – 30.4) (**Figure 2, Supplementary Table 2**). Incidence trends over time are distinct in Alentejo and Algarve; in Algarve, the incidence in 2019 was lower than in 2017 (2017-2019: 68.2 – 50.0), but the variation was not linear over time; in Alentejo, the incidence presented the lowest national rate over three years in 2017 (24.6), having had increased since then (2017-2019: 24.6 – 47.7) (**Figure 2, Supplementary Table 2**).

As observed in **Figure 3A**, the incidence per 100,000 inhabitants was about five times higher in older patients ( $\geq 20$  years old) when compared to younger ones.

Nevertheless, the incidence rates were similar among older age categories. Patients aged between 20 and 39 years presented the highest incidence values during the study period. Accordingly, patients aged 17 to 40 presented higher incidence rates when categorizing age according to the Montreal classification (**Figure 3B**).

**Figure 4** shows that, from 2017 to 2019, there has been an average incidence of 20 new cases of IBD per 1,000 people per year in mainland Portugal. This value has remained constant throughout the study period.

Ultimately, no statistical differences were found between the primary and the sensitivity analyses, as confidence intervals overlapped (**Supplementary Table 2**). Likewise, visual inspection of both analyses showed similar incidence patterns (**Supplementary**

**Figures 1 – 4).**

In December 2021, IBD incidence was forecasted to reach 305.4 (95% PI 197.3 – 413.6) new diagnoses, whereas, by December 2023, it is predicted to reach similar values (305.4, 95% PI 156.6 – 454.3). Hence, IBD incidence is projected to stabilize in the near future (**Figure 5, Supplementary Table 3**).

## DISCUSSION

This study is a retrospective analysis of IBD first consultation data provided by official state sources (SPMS) regarding patients in mainland Portugal between 2017 and 2019. As a result, we report, for the first time, IBD incidence trends nationwide. The obtained results indicate that IBD incidence slightly decreased in the analyzed period, with heterogeneous trends in the distinct regions (**Figure 1, Figure 2**). This tendency is in good agreement with recent epidemiological reports that evidence a stabilization or decrease of IBD incidence in Europe and North America in recent years [4,9,10]. In 2017, Ng and colleagues published a systematic review of population-based studies where IBD incidence was reported to have shifted considerably in the western world such that more than 70% of the studies, pertaining to countries such as the Netherlands, France or the United Kingdom, presented stable or decreasing incidence [4]. More recently, two population-based studies from different regions of Canada published results on IBD incidence, showing similar declining rates [15,16]. In addition, the average incidence between 2017 and 2019 (20 cases/1000person-year) can be considered high and is in line with the numbers registered in other European countries [17]. High incidence values are pointed as a result of the western lifestyle and have been linked to environmental factors associated with westernization [9,18]. This justifies the increasing incidence trend that is still observed in newly industrialized countries [4,5]. In our study, the North region presented the highest IBD incidence during the whole analyzed period (**Figure 5**). This tendency became more notorious with time and seemed to agree with that registered in Spain, where the cumulative incidence of IBD in 2017 was higher in the Central and North regions of the country [17]. We anticipate that this predisposition might be related to the genetic heritage of the northern populations that partially results from movements entering the Iberian Peninsula from northern and central Europe in the Iron Age [19]. Still, this hypothesis needs confirmation through structured studies that could further enhance

the understanding of IBD epidemiology in this region.

Regarding regional trends within Portugal, it seems that the South regions (Alentejo and Algarve) can still be on the stabilization phase, showing non-linear variations in the analyzed period. Despite the observed decrease tendency between 2017 and 2019, some authors are still reporting distinct trends among European countries and regions due to the stabilization process of IBD incidence [10].

Concerning gender distribution, our results relate to those reported in a study in northern Portugal and Galicia (Spain), in which UC was more predominant in females [20]. The discussion around gender incidence has not reached a consensus so far as we were able to find distinct reports with equal distribution or higher incidence in both women and men [21–23].

Several details regarding the distribution of IBD incidence by age categories deserve attention. First, the low incidence values in young children over time do not resemble those of recent studies, including a meta-analysis that reported an increasing trend of IBD incidence in this age category in the Western World [24,25]. On the other hand, incidence reports from the UK and the USA presented similar age distributions as those observed in this study [26,27]. These results might suggest that IBD onset occurs more often after childhood among the Portuguese population. Second, from 2017 to 2019, the declining incidence in patients above 60 years of age seems to contradict the tendency induced by the rise of life expectancy and described by several authors [5,10,28]. Third, we highlight the increase of IBD incidence in young adults (20-39 years) that showed the highest incidence values in 2019. Similar distributions were reported for other countries in Europe but also in North America and Australia [23,29,30], reopening the discussion around the influence of environmental factors in IBD, which are particularly evident in this socially and professionally active age category. However, further research is warranted to confirm these findings, as we speculate the youngest and oldest IBD patient population may be more commonly diagnosed in tertiary care settings, at least in Portugal.

Based on consultations coded for D94, IBD incidence was estimated, until 2024, by a monthly prediction of new cases. Forecasting models have been used extensively in the economic field and, in more recent years, in prevalence forecasting [11,12,31]. This analysis is based on historical values at regular time intervals (time series), considering stationarity to model future values. In **Figure 5**, the lower peaks registered at the end of each year account for fewer consultations in that period (holidays). In this analysis, we have compared the yearly pattern of all consultations in primary care facilities, on a national scale, to the yearly patterns of our dataset, and they are a match. Thus, these fluctuations should be a consequence of the data provenance and not of IBD incidence. The estimated values are in line with the predictions of Kaplan and Windsor that anticipate the stabilization or decrease of IBD incidence at least until 2050, as part of a compounding prevalence stage [10].

This study presents some limitations that shall be addressed. First, data collection based on a D94 code does not guarantee that all identified patients have IBD since using a single code may lead to the identification of false-positive cases, thus potentially inflating incidence values. However, the D94 code encompasses both CD and UC and is the most accurate way to collect data to accomplish our purpose. Another critical point is the possibility of misclassification by inaccurate coding and validation, as well as by occasional underreporting. Also, we could not verify this aspect as we worked with official data provided by the SPMS. Moreover, the forecast analysis does not include any other factors or predictors, relying only on the observed incidence and new diagnoses, even though the prediction is in good agreement with estimations performed by other authors. Nevertheless, further research of larger datasets is necessary to confirm these findings.

In conclusion, our study showed that IBD incidence decreased slightly in mainland Portugal in the last years, and is poised to stabilize in the near future. However, IBD incidence is still high nationwide, mainly in the North and Lisbon region. The presented data, along with the forecasting analysis, is of the utmost importance not only for the

characterization of IBD in a Southern-European country but as a potential mirror of disease behavior in the western world. Ultimately, for establishing a plan of action to face the challenges of the disease in a setting of compounding prevalence.

## **DATA AVAILABILITY STATEMENT**

The data underlying this article will be shared at reasonable request to the corresponding author.

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## FIGURE CAPTIONS

**Figure 1.** Inflammatory Bowel Disease incidence rate per 100,000 inhabitants.

**Figure 2.** Regional distribution of Inflammatory Bowel Disease, in Portugal, between 2017 and 2019.

**Figure 3.** Inflammatory Bowel Disease incidence rate per 100,000 inhabitants per age category.

**Figure 4.** Inflammatory Bowel Disease incidence per 1000 person-years.

**Figure 5.** Inflammatory Bowel Disease incidence forecast until 2024.

## SUPPLEMENTARY MATERIAL

**Table S1.** Mean square error (MSE), and root mean square error (RMSE) of the tested forecasting models.

**Table S2.** Inflammatory Bowel Disease incidence per 100,000 habitants and per person-year.

**Table S3.** Forecasted incidence of Inflammatory Bowel Disease between April 2020 and December 2023.

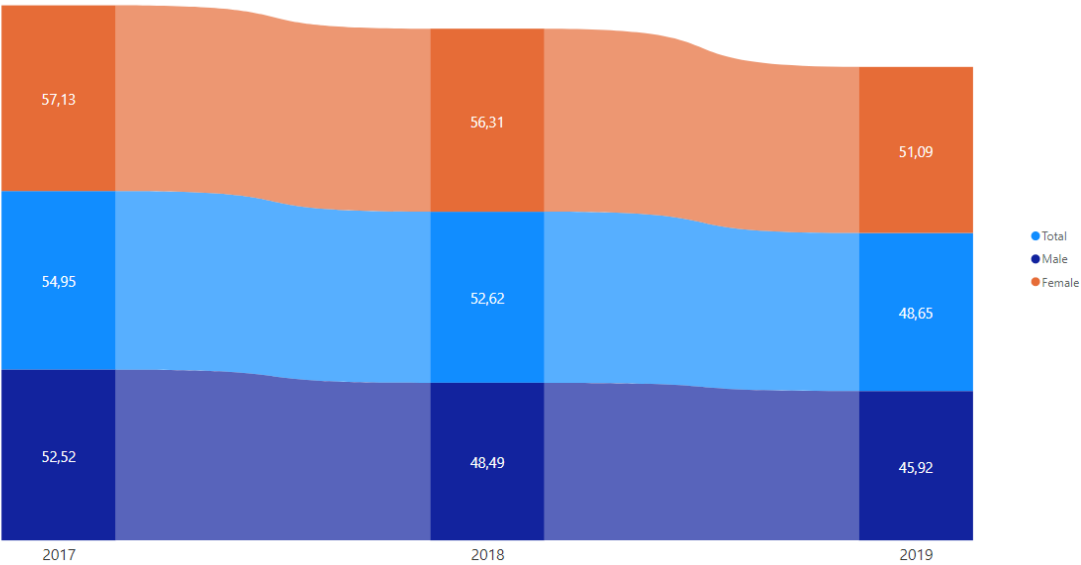
**Figure S1.** Inflammatory Bowel Disease incidence rate per 100,000 inhabitants (only cases presenting more than one consultation coded with D94).

**Figure S2.** Regional distribution of Inflammatory Bowel Disease, in Portugal, between 2017 and 2019 (only cases presenting more than one consultation coded with D94).

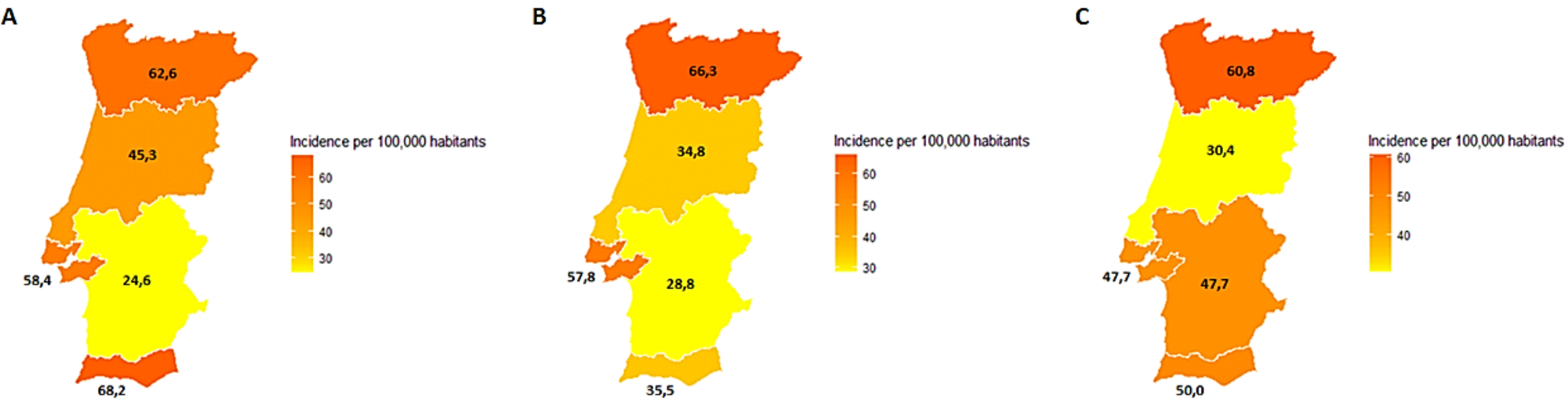
**Figure S3.** Inflammatory Bowel Disease incidence rate per 100,000 inhabitants per age category (only cases presenting more than one consultation coded with D94). A: Four age categories; B: age categories according to the Montreal Classification.

**Figure S4.** Inflammatory Bowel Disease incidence per 1000 person-years (only cases presenting more than one consultation coded with D94).

**Figure 1.** Inflammatory Bowel Disease incidence rate per 100,000 inhabitants.

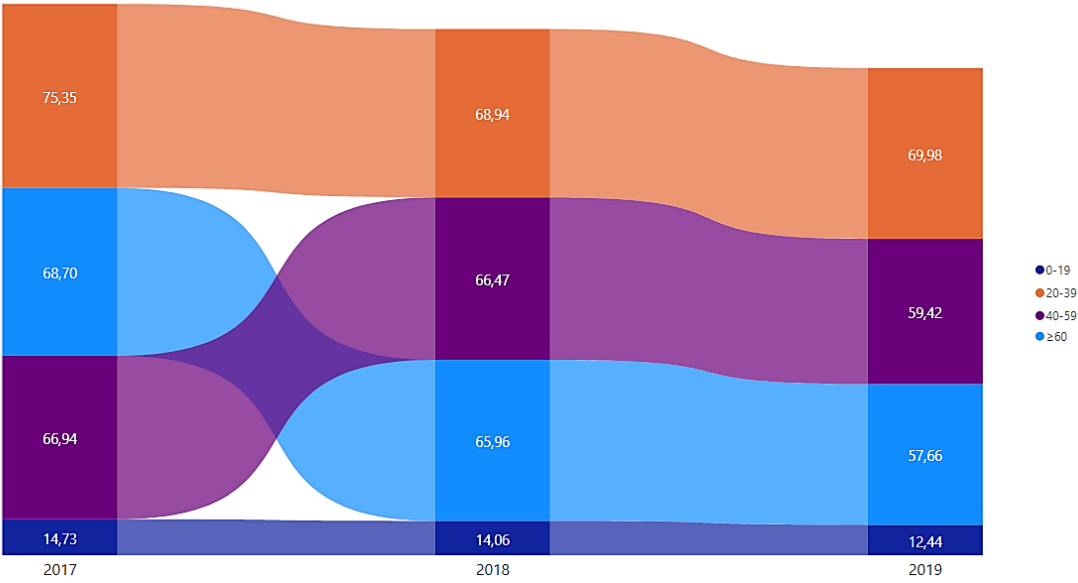


**Figure 2.** Regional distribution of Inflammatory Bowel Disease, in Portugal, between 2017 and 2019.

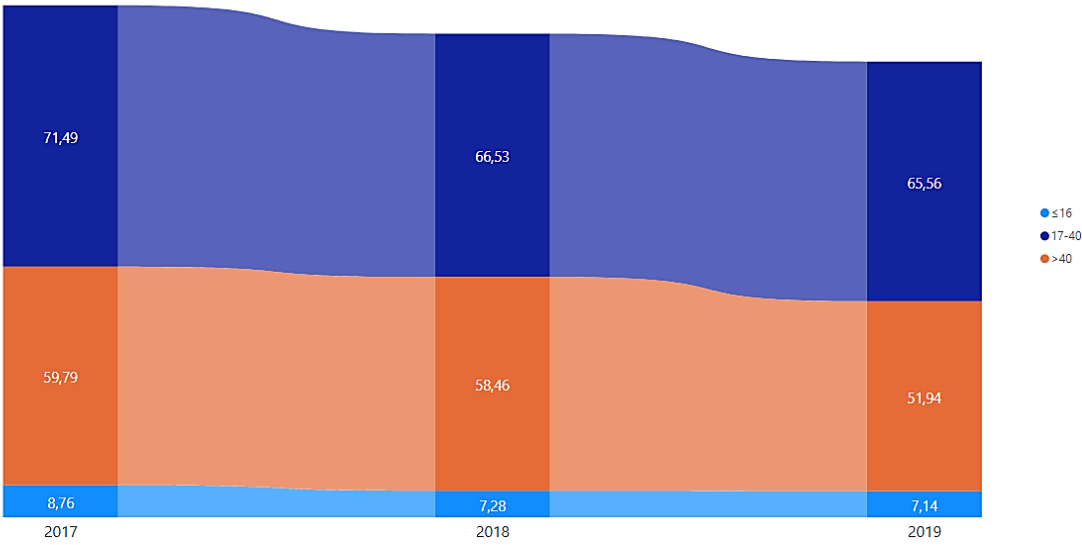


**Figure 3.** Inflammatory Bowel Disease incidence rate per 100,000 inhabitants per age category. A: Four age categories; B: age categories according to the Montreal Classification.

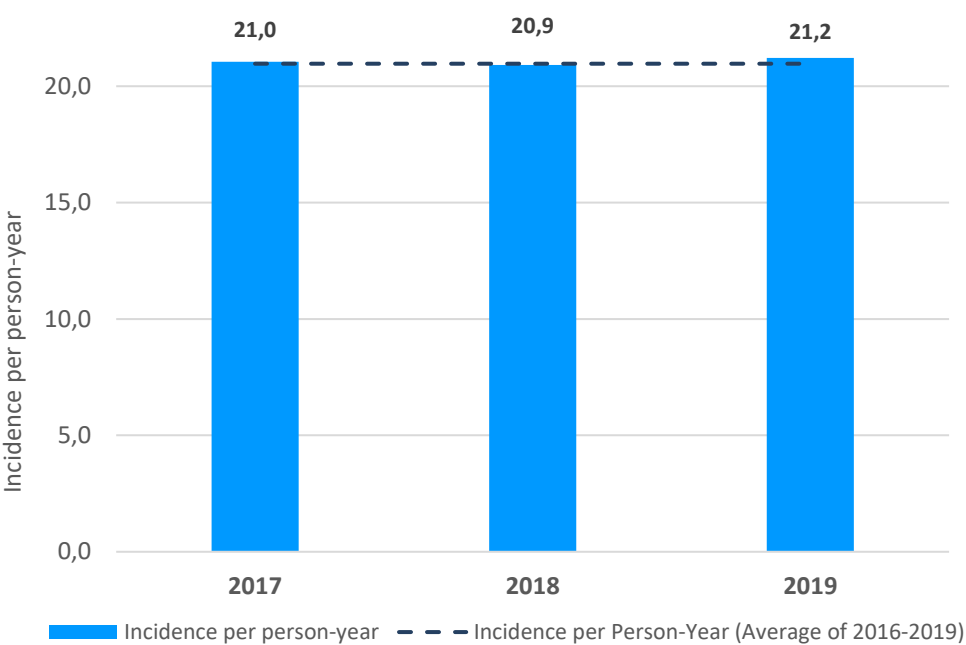
**A**



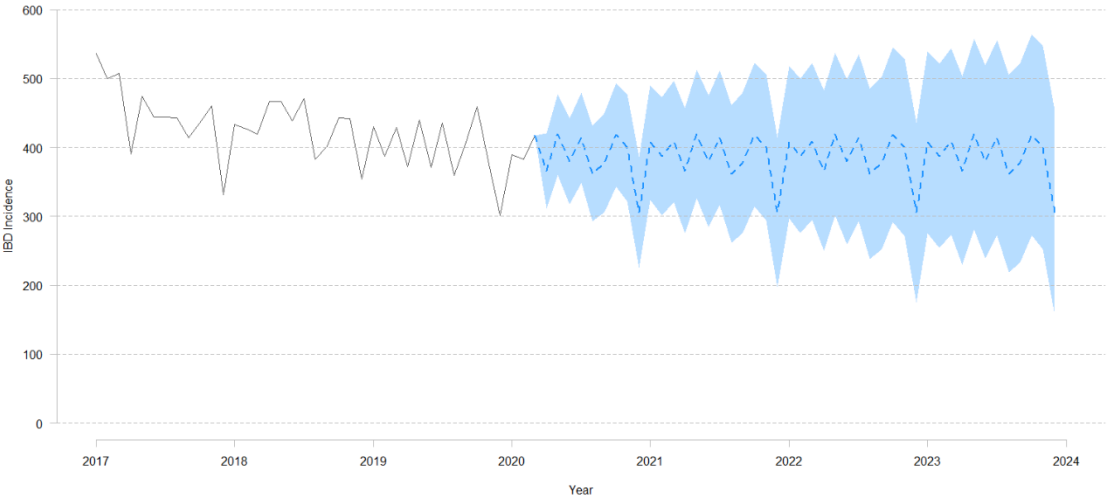
**B**



**Figure 4.** Inflammatory Bowel Disease incidence per 1000 person-years.



**Figure 5.** Inflammatory Bowel Disease incidence forecast until December 2023.



**Supplementary Table 1.** Mean square error (MSE) and root mean square error (RMSE) of the tested forecasting models.

| Model              | MSE*     | RMSE*  |
|--------------------|----------|--------|
| <b>STL + ARIMA</b> | 1310.374 | 36.199 |
| <b>STL + ETS</b>   | 1767.841 | 42.046 |
| <b>ARIMA</b>       | 2772.987 | 52.659 |
| <b>ETS</b>         | 3790.935 | 61.571 |
| <b>MA</b>          | 3520.605 | 59.334 |
| <b>TBATS</b>       | 4734.804 | 68.810 |
| <b>HW</b>          | 3498.414 | 59.147 |
| <b>STL</b>         | 4851.092 | 69.650 |
| <b>SNAIVE</b>      | 6496.324 | 80.600 |

ARIMA: Auto Regressive Integrated Moving Average; ETS: Error Trend Seasonal model; HW: Holt-Winters model; MA: Moving Average; MSE: Mean Squared Error; RMSE: Root Mean Squared Error; STL: Seasonal and Trend decomposition using Loess model; SNAIVE: Seasonal Naive model; TBATS: Exponential smoothing state space model with Box-Cox transformation, ARMA errors, Trend and Seasonal components.

\*The lower the number, the better the model.

**Supplementary Table 2.** Inflammatory Bowel Disease incidence rate per 100,000 habitants and per person-year.

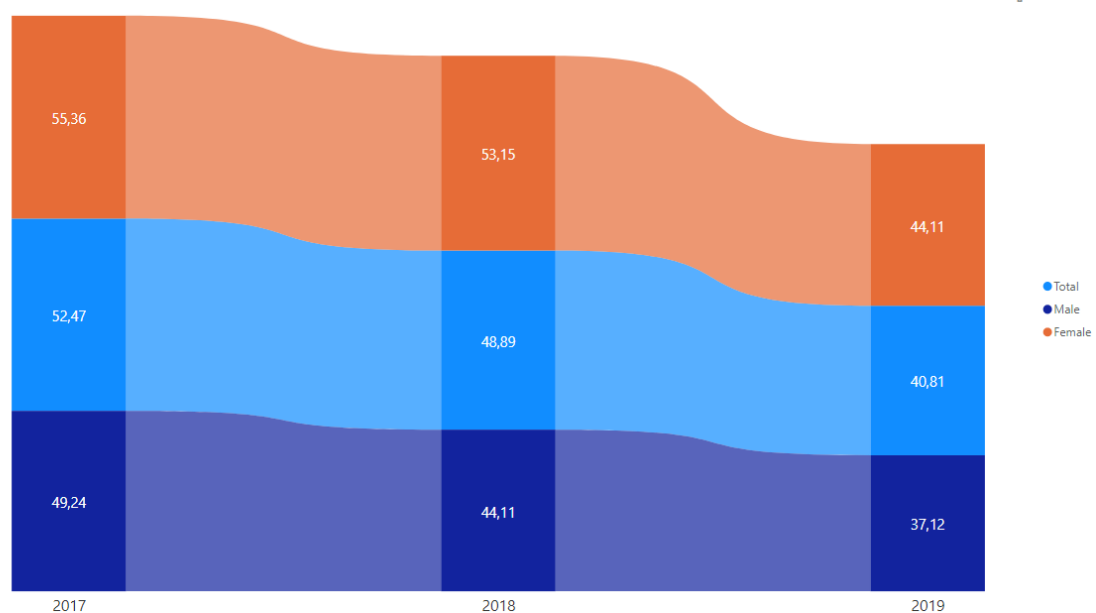
|                      | Year     | Total       | Sex         |             | Age         |             |             |             | Age according to the Montreal classification |             |             | Portuguese Region (NUTS II) |             |             |            |             | Incidence per person-year |
|----------------------|----------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|----------------------------------------------|-------------|-------------|-----------------------------|-------------|-------------|------------|-------------|---------------------------|
|                      |          |             | Male        | Female      | 0-19        | 20-39       | 40-59       | ≥60         | 0-16                                         | 17-40       | >40         | North                       | Center      | Lisbon      | Alentejo   | Algarve     |                           |
| Primary analysis     | 2017     | 54.9        | 52.5        | 57.1        | 14.7        | 75.3        | 66.9        | 68.7        | 8.8                                          | 71.5        | 59.8        | 62.6                        | 45.3        | 58.4        | 24.6       | 68.2        | 21.0                      |
|                      | 2018     | 52.6        | 48.5        | 56.3        | 14.1        | 68.9        | 66.5        | 66.0        | 7.3                                          | 66.5        | 58.5        | 66.3                        | 34.8        | 57.8        | 28.8       | 35.5        | 20.9                      |
|                      | 2019     | 48.6        | 45.9        | 51.1        | 12.4        | 70.0        | 59.4        | 57.7        | 7.1                                          | 65.6        | 51.9        | 60.8                        | 30.4        | 47.7        | 47.7       | 50.0        | 21.2                      |
|                      | Mean     | 52.0        | 49.0        | 54.8        | 13.7        | 71.4        | 64.3        | 64.3        | 7.7                                          | 67.9        | 56.7        | 63.2                        | 36.8        | 54.6        | 33.7       | 51.2        | 21.0                      |
|                      | (95% CI) | (44.1-60.0) | (40.7-57.2) | (46.7-62.9) | (10.8-16.7) | (62.9-79.9) | (53.8-74.7) | (53.8-74.7) | (5.4-10.0)                                   | (60.0-75.8) | (46.2-67.3) | (56.3-70.2)                 | (17.8-55.9) | (39.7-69.6) | (3.1-64.3) | (10.5-91.9) | (20.7-21.4)               |
| Sensitivity analysis | 2017     | 52.5        | 49.2        | 55.4        | 13.3        | 70.5        | 64.4        | 67.1        | 7.7                                          | 66.9        | 57.9        | 59.9                        | 43.0        | 55.9        | 23.3       | 65.3        | 20.9                      |
|                      | 2018     | 48.9        | 44.2        | 53.2        | 12.4        | 62.0        | 62.1        | 63.5        | 6.6                                          | 59.7        | 55.4        | 61.5                        | 32.9        | 53.6        | 25.9       | 32.6        | 20.7                      |
|                      | 2019     | 40.8        | 37.1        | 44.1        | 9.6         | 55.7        | 49.5        | 52.5        | 5.3                                          | 52.0        | 45.2        | 50.6                        | 26.1        | 40.2        | 40.3       | 40.6        | 20.7                      |
|                      | Mean     | 47.4        | 43.5        | 50.9        | 11.8        | 62.7        | 58.7        | 61.0        | 6.5                                          | 59.5        | 52.8        | 57.3                        | 34.0        | 49.9        | 29.8       | 46.2        | 20.8                      |
|                      | (95% CI) | (32.6-62.2) | (28.4-58.6) | (36.1-65.7) | (7.0-16.5)  | (44.3-81.1) | (38.7-78.7) | (42.2-79.9) | (3.5-9.5)                                    | (41.0-78.0) | (36.0-69.6) | (42.7-72.0)                 | (12.9-55.1) | (28.8-71.0) | (7.1-52.6) | (3.8-88.5)  | (20.5-21.1)               |

NUTS II: Nomenclature of Territorial Units for Statistics; Statistical significance assessed through Mann-Whitney U tests.

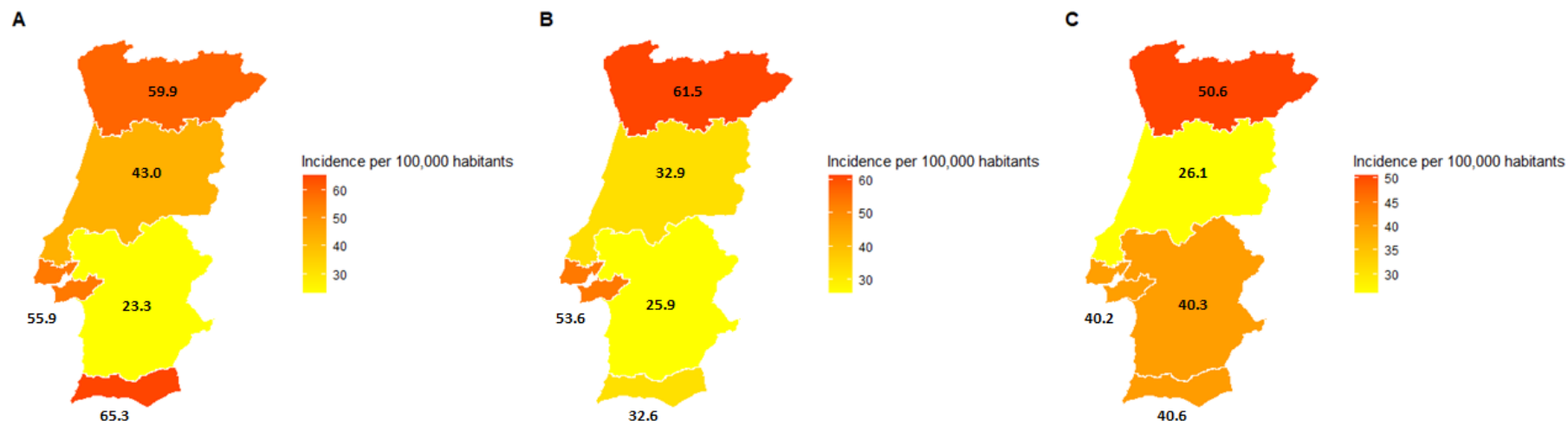
**Supplementary Table 3.** Forecasted incidence between April 2020 and December 2023 (PI, Prediction Interval).

| Month | Year | Point Forecast | Lower PI 95% | Higher PI 95% |
|-------|------|----------------|--------------|---------------|
| Apr   | 2020 | 366.3431       | 311.6530     | 421.0333      |
| May   | 2020 | 419.2920       | 360.7560     | 477.8281      |
| Jun   | 2020 | 379.5429       | 317.3985     | 441.6874      |
| Jul   | 2020 | 414.1271       | 348.5726     | 479.6816      |
| Aug   | 2020 | 361.9390       | 293.1433     | 430.7348      |
| Sep   | 2020 | 377.4176       | 305.5266     | 449.3086      |
| Oct   | 2020 | 418.0765       | 343.2181     | 492.9349      |
| Nov   | 2020 | 400.0688       | 322.3563     | 477.7813      |
| Dec   | 2020 | 305.4325       | 224.9670     | 385.8980      |
| Jan   | 2021 | 407.5060       | 324.3787     | 490.6334      |
| Feb   | 2021 | 387.3768       | 301.6702     | 473.0834      |
| Mar   | 2021 | 408.7475       | 320.5370     | 496.9579      |
| Apr   | 2021 | 366.3431       | 275.6980     | 456.9883      |
| May   | 2021 | 419.2920       | 326.2759     | 512.3082      |
| Jun   | 2021 | 379.5429       | 284.2147     | 474.8711      |
| Jul   | 2021 | 414.1271       | 316.5416     | 511.7126      |
| Aug   | 2021 | 361.9390       | 262.1473     | 461.7307      |
| Sep   | 2021 | 377.4176       | 275.4674     | 479.3678      |
| Oct   | 2021 | 418.0765       | 314.0126     | 522.1405      |
| Nov   | 2021 | 400.0688       | 293.9332     | 506.2044      |
| Dec   | 2021 | 305.4325       | 197.2649     | 413.6001      |
| Jan   | 2022 | 407.5060       | 297.3439     | 517.6682      |
| Feb   | 2022 | 387.3768       | 275.2556     | 499.4979      |
| Mar   | 2022 | 408.7475       | 294.7009     | 522.7940      |
| Apr   | 2022 | 366.3431       | 250.4032     | 482.2831      |
| May   | 2022 | 419.2920       | 301.4891     | 537.0950      |
| Jun   | 2022 | 379.5429       | 259.9060     | 499.1799      |
| Jul   | 2022 | 414.1271       | 292.6839     | 535.5704      |
| Aug   | 2022 | 361.9390       | 238.7160     | 485.1621      |
| Sep   | 2022 | 377.4176       | 252.4401     | 502.3951      |
| Oct   | 2022 | 418.0765       | 291.3688     | 544.7842      |
| Nov   | 2022 | 400.0688       | 271.6542     | 528.4834      |
| Dec   | 2022 | 305.4325       | 175.3334     | 435.5315      |
| Jan   | 2023 | 407.5060       | 275.7440     | 539.2680      |
| Feb   | 2023 | 387.3768       | 253.9725     | 520.7810      |
| Mar   | 2023 | 408.7475       | 273.7210     | 543.7740      |
| Apr   | 2023 | 366.3431       | 229.7137     | 502.9726      |
| May   | 2023 | 419.2920       | 281.0782     | 557.5059      |
| Jun   | 2023 | 379.5429       | 239.7626     | 519.3232      |
| Jul   | 2023 | 414.1271       | 272.7977     | 555.4565      |
| Aug   | 2023 | 361.9390       | 219.0773     | 504.8007      |
| Sep   | 2023 | 377.4176       | 233.0399     | 521.7953      |
| Oct   | 2023 | 418.0765       | 272.1986     | 563.9545      |
| Nov   | 2023 | 400.0688       | 252.7059     | 547.4318      |
| Dec   | 2023 | 305.4325       | 156.5994     | 454.2656      |

**Supplementary Figure 1.** Inflammatory Bowel Disease incidence rate per 100,000 inhabitants (only cases presenting more than one consultation coded with D94).

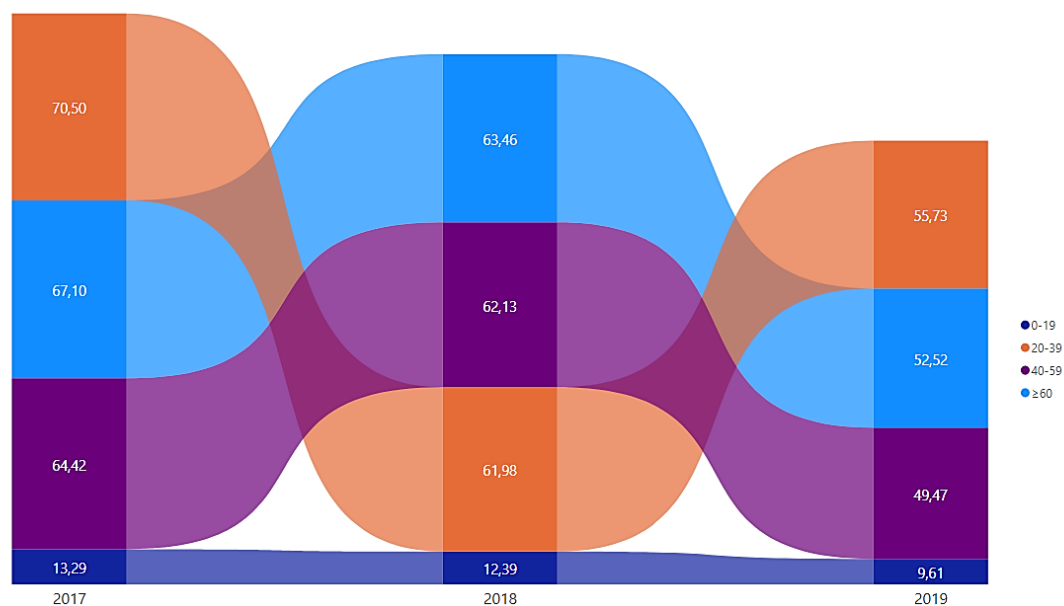


**Supplementary Figure 2.** Regional distribution of Inflammatory Bowel Disease, in Portugal, between 2017 and 2019 (only cases presenting more than one consultation coded with D94).

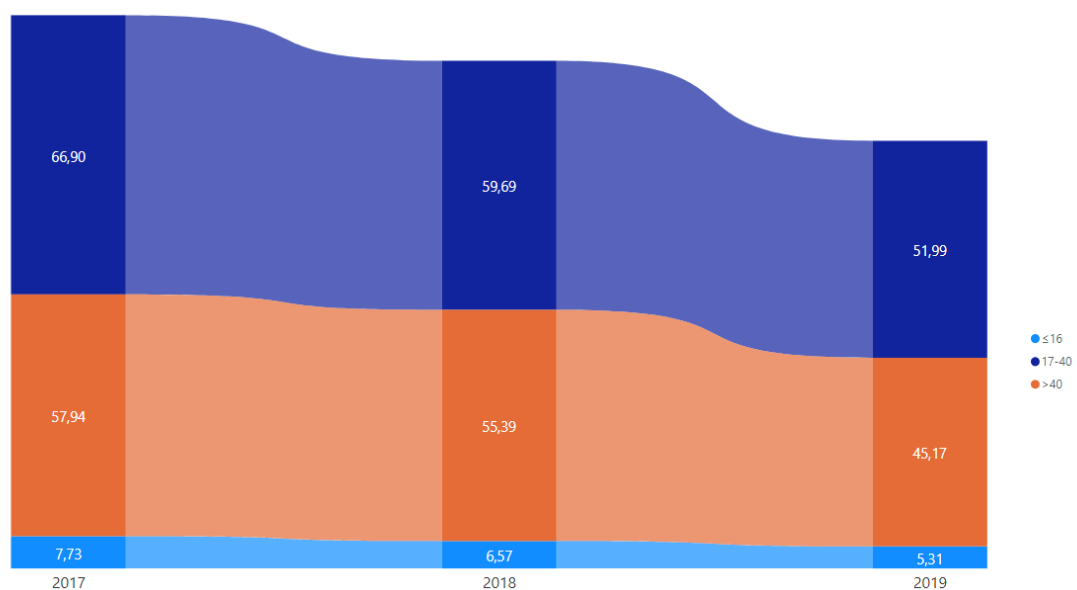


**Supplementary Figure 3.** Inflammatory Bowel Disease incidence rate per 100,000 inhabitants per age category (only cases presenting more than one consultation coded with D94). A: Four age categories; B: age categories according to the Montreal Classification.

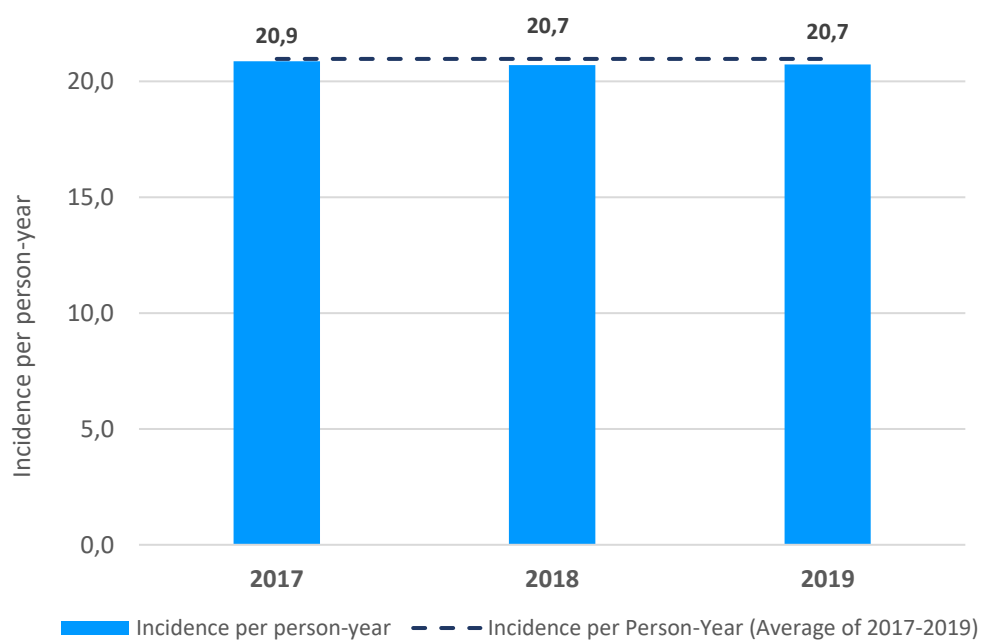
**A**



**B**



**Supplementary Figure 4.** Inflammatory Bowel Disease incidence per 1000 person-years (only cases presenting more than one consultation coded with D94).



## **ANEXO**

## **APPENDIX**

- 1. Recomendações Internacionais | Reporting guidelines**
- 2. Normas da revista | Author information**
- 3. Parecer da Comissão de Ética | Approval of the Ethics Committee**
- 4. Componente de Disseminação | Dissemination Component**



## **1. Normas Internacionais | Reporting guidelines**

# STROBE Statement—checklist of items that should be included in reports of observational studies

|                      | Item No | Recommendation                                                                                                                                                                                                                                                                                                                                                                                   | Page |
|----------------------|---------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------|
| Title and abstract   | 1       | (a) Indicate the study's design with a commonly used term in the title or the abstract                                                                                                                                                                                                                                                                                                           | 9    |
|                      |         | 'The study consisted of a retrospective analysis of all first consultations coded for "Chronic enteritis/ulcerative colitis" (D94), in a primary healthcare setting, between 2017 and 2020, in Portugal.'                                                                                                                                                                                        |      |
|                      |         | (b) Provide in the abstract an informative and balanced summary of what was done and what was found                                                                                                                                                                                                                                                                                              | 9    |
|                      |         | 'This work intends to identify the trends related to IBD incidence nationwide, analyzing regional, sex, and age distributions.'                                                                                                                                                                                                                                                                  |      |
|                      |         | 'The incidence of IBD slightly declined from 2017 to 2019, and it is posed to stabilize in the future.'                                                                                                                                                                                                                                                                                          |      |
| <b>Introduction</b>  |         |                                                                                                                                                                                                                                                                                                                                                                                                  |      |
| Background/rationale | 2       | Explain the scientific background and rationale for the investigation being reported                                                                                                                                                                                                                                                                                                             | 10   |
|                      |         | 'IBD affects mainly people between the second and fourth decade of life [2]. The chronic nature of these conditions demands continuous care, including hospitalization and surgery, and expensive treatments such as biological therapies. Thus, IBD is a significant burden for most healthcare systems [3].'                                                                                   |      |
| Objectives           | 3       | State specific objectives, including any prespecified hypotheses                                                                                                                                                                                                                                                                                                                                 | 11   |
|                      |         | 'Our study aims to identify the trends of IBD incidence in Portugal, with region, sex, and age-specific distributions. In addition, based on this data, we intend to evaluate the future incidence of IBD in Portugal, until 2024, through forecasting models. This can be useful to establish incidence behavior and define policies, guidelines, and milestones for prevalence stabilization.' |      |
| <b>Methods</b>       |         |                                                                                                                                                                                                                                                                                                                                                                                                  |      |
| Study design         | 4       | Present key elements of study design early in the paper                                                                                                                                                                                                                                                                                                                                          | 12   |
|                      |         | 'This study consisted of a retrospective analysis'                                                                                                                                                                                                                                                                                                                                               |      |
| Setting              | 5       | Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection                                                                                                                                                                                                                                                                  | 12   |
|                      |         | 'all first consultations coded for "Chronic enteritis/ulcerative colitis" (International Classification of Primary Care-2 code: D94), in a primary healthcare setting, between January 2015 and April 2020 in mainland Portugal. Data were provided by the Portuguese Shared Services of the Ministry of Health                                                                                  |      |

(SPMS). All possible patient identifiers were encrypted to ensure patients' confidentiality.'

|                              |    |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |       |
|------------------------------|----|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------|
| Participants                 | 6  | <p>(a) <i>Cohort study</i>—Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up</p> <p>'This study consisted of a retrospective analysis of all first consultations coded for "Chronic enteritis/ulcerative colitis" (International Classification of Primary Care-2 code: D94), in a primary healthcare setting, between January 2015 and April 2020 in mainland Portugal. Data were provided by the Portuguese Shared Services of the Ministry of Health (SPMS). All possible patient identifiers were encrypted to ensure patients' confidentiality.'</p> <p><i>Case-control study</i>—Give the eligibility criteria, and the sources and methods of case ascertainment and control selection. Give the rationale for the choice of cases and controls</p> <p><i>Cross-sectional study</i>—Give the eligibility criteria, and the sources and methods of selection of participants</p> | 12    |
|                              |    | <p>(b) <i>Cohort study</i>—For matched studies, give matching criteria and number of exposed and unexposed</p> <p><i>Case-control study</i>—For matched studies, give matching criteria and the number of controls per case</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | NA    |
| Variables                    | 7  | <p>Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable</p> <p>'The primary outcome measure was IBD incidence rate per 100,000 inhabitants (...) Other outcome measures included: 1) incidence rate per person-year, which was calculated by dividing the number of new diagnoses during the study period (numerator) by the sum of each patient's age at diagnosis (denominator), multiplied by a factor of 1000; and 2) forecasted incidence, based on the estimation the number of new diagnoses per month during the study period and application of several forecasting models to predict incidence values until 2024.'</p>                                                                                                                                                                                                                                        | 12    |
| Data sources/<br>measurement | 8* | <p>For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group</p> <p>'Data were provided by the Portuguese Shared Services of the Ministry of Health (SPMS).'</p> <p>'Therefore, we analyzed data from January 2017 to April 2020. Incidence rates per 100,000 inhabitants were analyzed by year and stratified by sex (male and female), age (four categories: 0-19, 20-39, 40-59, ≥ 60 years old; three categories according to the Montreal classification: 0-16, 17-40, &gt;40), and region according to the Nomenclature of Territorial Units for Statistics (NUTS) II (North, Center, Lisbon, Alentejo, Algarve).'</p>                                                                                                                                                                                                      | 12,13 |
| Bias                         | 9  | Describe any efforts to address potential sources of bias                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | 13    |

'To prevent prevalence mixing with incidence cases, we performed a washout period of two years. Therefore, we analyzed data from January 2017 to April 2020.'

|                        |    |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |       |
|------------------------|----|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------|
| Study size             | 10 | Explain how the study size was arrived at                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | NA    |
| Quantitative variables | 11 | Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why<br><br>'Incidence rates per 100,000 inhabitants were analyzed by year and stratified by sex (male and female), age (four categories: 0-19, 20-39, 40-59, ≥ 60 years old; three categories according to the Montreal classification: 0-16, 17-40, >40), and region according to the Nomenclature of Territorial Units for Statistics (NUTS) II (North, Center, Lisbon, Alentejo, Algarve).' | 13    |
| Statistical methods    | 12 | (a) Describe all statistical methods, including those used to control for confounding<br><br>'To prevent prevalence mixing with incidence cases (...) software (version 3.6.1) and RStudio (version 1.2.1335).'                                                                                                                                                                                                                                                                                                         | 13,14 |
|                        |    | (b) Describe any methods used to examine subgroups and interactions<br><br>'Incidence rates per 100,000 inhabitants were analyzed by year and stratified by sex (male and female), age (four categories: 0-19, 20-39, 40-59, ≥ 60 years old; three categories according to the Montreal classification: 0-16, 17-40, >40), and region according to the Nomenclature of Territorial Units for Statistics (NUTS) II (North, Center, Lisbon, Alentejo, Algarve).'                                                          | 13    |
|                        |    | (c) Explain how missing data were addressed                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | NA    |
|                        |    | (d) <i>Cohort study</i> —If applicable, explain how loss to follow-up was addressed<br><i>Case-control study</i> —If applicable, explain how matching of cases and controls was addressed<br><i>Cross-sectional study</i> —If applicable, describe analytical methods taking account of sampling strategy                                                                                                                                                                                                               | NA    |
|                        |    | (e) Describe any sensitivity analyses<br><br>'Additionally, we performed a sensitivity analysis by including solely the cases presenting more than one consultation with the D94 code. Confidence intervals were used to assess statistical differences between the primary and sensitivity analyses.'                                                                                                                                                                                                                  | 13    |

Continued on next page

## Results

|                  |     |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |        |
|------------------|-----|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------|
| Participants     | 13* | (a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed                                                                                                                                                                                                                                                                                                                 | NA     |
|                  |     | (b) Give reasons for non-participation at each stage                                                                                                                                                                                                                                                                                                                                                                                                                                                              | NA     |
|                  |     | (c) Consider use of a flow diagram                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | NA     |
| Descriptive data | 14* | (a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders                                                                                                                                                                                                                                                                                                                                                                          | NA     |
|                  |     | (b) Indicate number of participants with missing data for each variable of interest                                                                                                                                                                                                                                                                                                                                                                                                                               | NA     |
|                  |     | (c) <i>Cohort study</i> —Summarise follow-up time (eg, average and total amount)                                                                                                                                                                                                                                                                                                                                                                                                                                  | 15     |
|                  |     | ‘The incidence rate of IBD in Portugal, between 2017 and 2019’                                                                                                                                                                                                                                                                                                                                                                                                                                                    |        |
| Outcome data     | 15* | <i>Cohort study</i> —Report numbers of outcome events or summary measures over time                                                                                                                                                                                                                                                                                                                                                                                                                               |        |
|                  |     | ‘The incidence rate of IBD in Portugal, between 2017 and 2019, was 54.9 and 48.6 per 100,000 inhabitants, respectively, with a slight reduction in disease incidence, throughout the study period, of about 12%.’                                                                                                                                                                                                                                                                                                 | 15     |
|                  |     | <i>Case-control study</i> —Report numbers in each exposure category, or summary measures of exposure                                                                                                                                                                                                                                                                                                                                                                                                              |        |
|                  |     | <i>Cross-sectional study</i> —Report numbers of outcome events or summary measures                                                                                                                                                                                                                                                                                                                                                                                                                                |        |
| Main results     | 16  | (a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included                                                                                                                                                                                                                                                                                                      | 15, 16 |
|                  |     | ‘The incidence rate of IBD in Portugal, between 2017 and 2019, was 54.9 and 48.6 per 100,000 inhabitants, respectively, with a slight reduction in disease incidence, throughout the study period, of about 12%. Moreover, new cases were more common among females than males (F vs. M, 2017-2019: 57.1 – 51.1 vs. 52.5 – 45.9) (Figure 1, Supplementary Table 2). Incidence distribution was heterogeneous nationwide, with more new cases in the Northern region of Portugal and the Lisbon Metropolitan Area’ |        |
|                  |     | ‘As observed in Figure 3A, the incidence per 100,000 inhabitants was about five times higher in older patients (≥ 20 years old) when compared to younger ones.’                                                                                                                                                                                                                                                                                                                                                   |        |
|                  |     | ‘Figure 4 shows that, from 2017 to 2019, there has been an average incidence of 20 new cases of IBD per 1,000 people per year in mainland Portugal.’                                                                                                                                                                                                                                                                                                                                                              |        |
|                  |     | ‘In December 2021, IBD incidence was forecasted to reach 305.4 (95% PI 197.3 – 413.6) new diagnoses, whereas, by December 2023, it is predicted to reach similar values (305.4, 95% PI 156.6 – 454.3).’                                                                                                                                                                                                                                                                                                           |        |
|                  |     | (b) Report category boundaries when continuous variables were categorized                                                                                                                                                                                                                                                                                                                                                                                                                                         | NA     |
|                  |     | (c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period                                                                                                                                                                                                                                                                                                                                                                                                  | NA     |

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |    |                                                                                                                                                                            |           |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|
| Other analyses                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | 17 | Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses                                                                             | 15        |
| <p>‘Ultimately, no statistical differences were found between the primary and the sensitivity analyses, as confidence intervals overlapped (<b>Supplementary Table 2</b>).’</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |    |                                                                                                                                                                            |           |
| <b>Discussion</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |    |                                                                                                                                                                            |           |
| Key results                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | 18 | Summarise key results with reference to study objectives                                                                                                                   | 17, 19-20 |
| <p>‘The obtained results indicate that IBD incidence slightly decreased in the analyzed period, with heterogeneous trends in the distinct regions (<b>Figure 1, Figure 2</b>). This tendency is in good agreement with recent epidemiological reports that evidence a stabilization or decrease of IBD incidence in Europe and North America in recent years’</p> <p>‘In conclusion, our study showed that IBD incidence decreased slightly in mainland Portugal in the last years, and is poised to stabilize in the near future. However, IBD incidence is still high nationwide, mainly in the North and Lisbon region. The presented data, along with the forecasting analysis, is of the utmost importance not only for the characterization of IBD in a Southern-European country but as a potential mirror of disease behavior in the western world. Ultimately, for establishing a plan of action to face the challenges of the disease in a setting of compounding prevalence.’</p>                   |    |                                                                                                                                                                            |           |
| Limitations                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | 19 | Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias                 | 19        |
| <p>‘This study presents some limitations that shall be addressed. First, data collection based on a D94 code does not guarantee that all identified patients have IBD since using a single code may lead to the identification of false-positive cases, thus potentially inflating incidence values. However, the D94 code encompasses both CD and UC and is the most accurate way to collect data to accomplish our purpose. Another critical point is the possibility of misclassification by inaccurate coding and validation, as well as by occasional underreporting. Also, we could not verify this aspect as we worked with official data provided by the SPMS. Moreover, the forecast analysis does not include any other factors or predictors, relying only on the observed incidence and new diagnoses, even though the prediction is in good agreement with estimations performed by other authors. Nevertheless, further research of larger datasets is necessary to confirm these findings.’</p> |    |                                                                                                                                                                            |           |
| Interpretation                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | 20 | Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence | 17-19     |
| <p>‘The obtained results indicate that IBD incidence slightly decreased in the analyzed period (...)that anticipate the stabilization or decrease of IBD incidence at least until 2050, as part of a compounding prevalence stage [10].’</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |    |                                                                                                                                                                            |           |
| Generalisability                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | 21 | Discuss the generalisability (external validity) of the study results                                                                                                      | 19, 20    |

‘The presented data, along with the forecasting analysis, is of the utmost importance not only for the characterization of IBD in a Southern-European country but as a potential mirror of disease behavior in the western world.’

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| Other information                                                                              |    |                                                                                                                                                               |   |
|------------------------------------------------------------------------------------------------|----|---------------------------------------------------------------------------------------------------------------------------------------------------------------|---|
| Funding                                                                                        | 22 | Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based | 6 |
| ‘This work was supported by the Portuguese Study Group of Inflammatory Bowel Disease (GEDII).’ |    |                                                                                                                                                               |   |

\*Give information separately for cases and controls in case-control studies and, if applicable, for exposed and unexposed groups in cohort and cross-sectional studies.

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## MISSION

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#### WHAT IS KNOWN

- Heartburn is common and costly.
- The impact of reflux symptoms on mortality is poorly documented.

#### WHAT IS NEW HERE

- Reflux symptoms appear not to be associated with a major increased risk of poorer survival.
- Those with intermediate frequencies of reflux symptoms had better survival.
- Gastroesophageal reflux symptoms are a benign condition in most sufferers.

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#### **Sample References:**

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Rautava S, Lu L, Nanthakumar NN, et al. TGF- $\beta$ 2 induces maturation of immature human intestinal epithelial cells and inhibits inflammatory cytokine responses induced via the NF- $\kappa$ B pathway. *J Pediatr Gastroenterol Nutr* 2012;54:630-8.

##### **Online Article**

7. Sullivan D. Major search engines and directories. SearchEngineWatch Web site. [URL]. Published May 8, 2011. Accessed July 13, 2012.

##### **Entire Book**

Ming S-C, Goldman H. Pathology of the Gastrointestinal Tract. Philadelphia: Lippincott Williams & Wilkins; 2008.

##### **Book Chapter**

Todd VR. Visual information analysis: frame of reference for visual perception. In: Kramer P, Hinojosa J, eds. *Frames of Reference for Pediatric Occupational Therapy*. Philadelphia: Lippincott Williams & Wilkins; 2017:205–56.

##### **Database**

6. CANCERNET-PDQ [database online]. Bethesda, MD: National Cancer Institute; 2016. Updated March 29, 2016.

#### **Figures:**

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- Manuscript length and formatting:** Check that the length of the manuscript and abstract do not exceed the maximum word count and are formatted as per instructions to authors for each. See

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4. **Study highlights:** For Articles, be sure to include the study highlights section as described earlier in this guide.
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#### References

(<http://grants.nih.gov/grants/guide/rfa-files/RFA-RM-07-007.html>.; accessed February 7, 2021).

<http://www.icmje.org/recommendations/browse/roles-and-responsibilities/defining-the-role-of-authors-and-contributors.html> (Accessed 5/28/2021)

### **3. Parecer da Comissão de Ética | Approval of the Ethics Committee**



EXARADO NA ATA Nº 2020\_05  
REUNIÃO DE 2020-02-14

☐ COMUNICAÇÃO ☒ INFORMAÇÃO ☐ PARECER DATA : 2020/01/15  
Nº 1 (P.1/20-DPO)

PARA .....: Conselho Diretivo

DE : Data Protection Officer (DPO)

ASSUNTO : CES - Parecer nº074A/2019: "Caracterização da doença inflamatória intestinal em Portugal"

Deliberado Autorizar atendendo ao parecer do DPO  
2020-02-14

  
**Carlos Nunes**  
Presidente do CD

*Maria Clara Castro*  
**Maria Clara Castro**  
Vice Presidente do CD

  
**Paula Duarte**  
Vogal do CD

  
**Ponciano Oliveira**  
Vogal do CD

No âmbito do estudo em título, já apreciado pelo DPO (cfr. Informação nº26, junta), levantaram-se algumas questões atinentes à segurança da informação, designadamente se terceiros poderiam aceder à base de dados a criar, onde seria a mesma armazenada e em que condições de segurança e qual o prazo de armazenamento, afigurando-se também que, ao contrário do que é referido na pag.25 do protocolo, a informação sobre a qual recairá o estudo se afigurava vir a ser disponibilizada pseudonimizada<sup>1</sup>.

E pese embora o tratamento dos dados seja efetuado pelos SPMS, por superiormente lhe ter sido solicitado, o DPO apreciou sucintamente os documentos do protocolo tendo concluído pela necessidade de esclarecimentos relativos àquelas questões, o que mereceu concordância superior.

Nesta conformidade, solicitada a colaboração da Comissão de Ética, veio a investigadora principal clarificar alguns aspetos em formato de "Adenda" (pese embora não assinado, encontra-se como anexo ao email por si enviado, sendo legítimo presumir ter sido elaborado pela mesma), e onde é referido que serão os SPMS a codificar os dados sem possibilidade de identificação do doente, não estando a base de dados acessível a terceiros (ainda que não seja referido como), sendo os dados armazenados pelo período ali melhor indicado.

Considerando que será outra entidade, que não a ARSN, o responsável pelo tratamento da informação, considerando ainda que é legítimo presumir que sobre esse tratamento se terá já pronunciado o DPO dos SPMS, tendo ainda presente que, na senda do que se vem experienciando junto dos mesmos, a segurança da informação é um dos seus corolários, somos de entendimento de que, obtido, como foi, parecer favorável da Comissão de Ética, se afigura defensável poder ser comunicado aos investigadores de que a ARSN nada tem a obstar à realização do estudo em apreço.

<sup>1</sup> Atento o vertido no item 5.b.iv do protocolo (onde é referida a possibilidade de reversão de identificação dos titulares dos dados).



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ARS NORTE

Administração Regional  
de Saúde do Norte, I.P.

☐ COMUNICAÇÃO ☒ INFORMAÇÃO ☐ PARECER DATA : 12.11.2019  
Nº <Processo> <Registo>

PARA ..... : Conselho Diretivo da ARS Norte

DE : Comissão de Ética para a Saúde

ASSUNTO ...: Parecer nº 074A/2019

Levo ao conhecimento do Conselho Diretivo o Parecer nº 074A/2019 sobre o Estudo "Caraterização da doença inflamatória intestinal em Portugal", aprovado na reunião de 30 de julho de 2019 por unanimidade que anexa uma adenda ao protocolo com os elementos em falta, conforme o solicitado pelo Data Protection Officer (DPO) na sua comunicação nº 1 (P.26/19-DPO).

À consideração superior

Ana Paula Capela

Ana Paula Capela  
(Assessoria CES/UIC)

João DPO  
para análise  
e parecer

09.12.2019

Paula Duarte

Paula Duarte  
Vogal do CD

Recebido em 15/1/20

**Comissão de Ética para a Saúde da Administração Regional de Saúde do Norte, I.P.**

**PARECER Nº 074/2019**

**Sobre o estudo T1093 – “Caracterização da Doença Inflamatória Intestinal em Portugal”**

**A – Relatório**

1. A Comissão de Ética para a Saúde (CES), da Administração Regional de Saúde do Norte I.P. (ARSN), iniciou a apreciação do Processo n.º T1093, Mafalda Santiago Alves Pereira estudante de Doutoramento da FMUP, Fernando José Magro Dias Orientador do projeto de Doutoramento e Professor agregado do Departamento de Farmacologia e Terapêutica da FMUP, Assistente médico no Serviço de Gastrenterologia, Centro Hospitalar São João e membro da Direção do Grupo de Estudo da Doença Inflamatória Intestinal (GEDII), e Cláudia Camila Dias Investigador Pos-Doc no grupo NanoStima, Departamento de Medicina da Comunidade Informação e Decisão em Saúde da FMUP e Professora associada do Departamento de Medicina da Comunidade Informação e Decisão em Saúde da FMUP, na sequência do pedido de parecer dirigido a esta Comissão pelos investigadores, relativo ao estudo intitulado “Caracterização da Doença Inflamatória Intestinal em Portugal”.
2. Fazem parte do processo de avaliação o requerimento e o protocolo de investigação.
3. Trata-se de um estudo que pretende dar resposta à seguinte questão: Qual o “burden” associado à Doença Inflamatória Intestinal em Portugal através da utilização de dados secundários de diversas bases de dados administrativas desde o ano 2000? O projeto tem ainda como objetivo a caracterização da DII através da estimação de tendências de internamento, reinternamento e cirurgia assim como os seus custos associados. Pretende-se finalmente estimar a prevalência da DII e estudar os efeitos da terapêutica biológica na doença.
4. Sendo um estudo faseado e de abrangência nacional, as atividades a realizar na ARS Norte darão resposta contribuirão concretamente para o objetivo de caracterizar a Prevalência da Doença Inflamatória Intestinal em Portugal.
5. Concretamente para execução do ponto A.4. pretende-se extrair e analisar dados do processo clínico dos utentes frequentadores dos cuidados de saúde primários que apresentem registo do problema Enterite crónica/Colite ulcerosa (D94) na ARS Norte. Serão colhidas exclusivamente as seguintes variáveis: Idade à data do diagnóstico; Sexo; Código unidade de saúde (região) ou outra que nos indique concelho ou distrito; Diagnóstico; Data do diagnóstico de Enterite crónica/Colite ulcerosa (em casos de múltiplas consultas colocar a data mais antiga); Referenciação para consulta de especialidade hospitalar; Nº

total de consultas; número de consultas por doente após diagnóstico; Os dados colhidos serão desde o ano 2000 até ao presente.

6. Segundo os autores, foi dirigido um pedido de extração de dados aos Serviços Partilhados do Ministério da Saúde (SPMS) que realizarão o processo de extração de informação. Terá sido por indicação dos SPMS que foi solicitado o parecer da comissão de ética da ARS Norte relativamente ao estudo, antes de se proceder à extração dos dados indicados no ponto A.5.

B – Identificação das questões com eventuais implicações éticas:

B.1. Reconhece-se relevância e interesse no estudo e nos resultados esperados.

B.2. Os dados recolhidos são adequados à dimensão em estudo.

B.3. Não há intervenção direta sobre utentes ou profissionais.

B.4. A extração de dados será realizada pelos Serviços Partilhados do Ministério da Saúde.

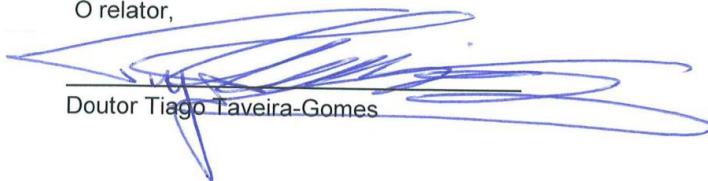
B.5. Os dados serão tratados garantindo a confidencialidade e o anonimato dos utentes.

C – Conclusões:

1. A CES reconhece relevância e interesse na realização do estudo.
2. Face ao exposto, a CES delibera emitir parecer favorável à autorização deste estudo no que respeita concretamente a extração e análise de dados identificados no ponto A.5.

Aprovado em reunião do dia 30 de julho de 2019, por unanimidade.

O relator,



Doutor Tiago Taveira-Gomes

O Presidente da Comissão de Ética para a Saúde da ARS Norte IP

  
Professor Doutor Alberto Pinto Hespanhol



#### **4. Componente de Disseminação | Dissemination Component**

----- Mensagem Original -----

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Data: 2022-02-09 15:08

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Para: "Fernando Magro" [REDACTED]

Responder a: "Clinical and Translational Gastroenterology"

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CTG-21-0382R1

Incidence trends of Inflammatory Bowel Disease in a Southern-European country: a mirror of the western world?

Dr. Magro,

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