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11 **Application of the response surface method to**  
12 **optimize alkali activated cements based on**  
13 **low-reactivity ladle furnace slag**

14  
15 <sup>a</sup> Claver Pinheiro; <sup>b,\*</sup> Sara Rios; <sup>c</sup> António Viana da Fonseca; <sup>d</sup> Ana Fernández-Jiménez; <sup>e</sup>  
16 Nuno Cristelo

17  
18  
19 <sup>a</sup> CONSTRUCT-GEO, Faculty of Engineering, University of Porto, Rua Dr. Roberto Frias, s/n 4200-465,  
20 Porto, Portugal  
21 E-mail: [clavergiovanni@gmail.com](mailto:clavergiovanni@gmail.com)  
22

23 <sup>b</sup> CONSTRUCT-GEO, Faculty of Engineering, University of Porto, Rua Dr. Roberto Frias, s/n 4200-465,  
24 Porto, Portugal  
25 Telephone: + 351 225 081 728; Telefax: + 351 225 081 891  
26 E-mail: [sara.rios@fe.up.pt](mailto:sara.rios@fe.up.pt)  
27 \* corresponding author  
28

29 <sup>c</sup> CONSTRUCT-GEO, Faculty of Engineering, University of Porto, Rua Dr. Roberto Frias, s/n 4200-465,  
30 Porto, Portugal  
31 E-mail: [viana@fe.up.pt](mailto:viana@fe.up.pt)  
32

33 <sup>d</sup> Instituto Eduardo Torroja (IETcc), CSIC, C/Serrano Galvache 4, 28033 Madrid, Spain  
34 E-mail address: [anafj@ietcc.es](mailto:anafj@ietcc.es)  
35

36 <sup>e</sup> CQ-VR, School of Science and Technology, University of Trás-os-Montes e Alto Douro, 5000-801 Vila Real,  
37 Portugal  
38 E-mail address: [ncristel@utad.pt](mailto:ncristel@utad.pt)  
39

40 **ABSTRACT**

41

42 Steel-making slags, resulting from basic oxygen furnaces or electric arc furnaces are heavily  
43 applied in the construction industry, as an aggregate for pavements or concrete. Although  
44 possessing a significant crystalline content, it is expected that, if properly milled, the  
45 reactivity of these slags can increase up to a point when they are viable to produce alkaline  
46 cements. The aim of this study was the application of a response surface method to design  
47 the experimental work required to optimise the composition of an alkaline cement based on  
48 ladle furnace slag, a specific type of steel slag (SG). Fly ash (FA) was also added, in a  
49 precursor role, and the activation was achieved with an alkaline solution prepared with  
50 sodium silicate (SS) and sodium hydroxide (SH). The factors/variables considered were the  
51 activator index  $X=SS/(SS+SH)$ , the precursor index  $Y=SG/(SG+FA)$  and the SH  
52 concentration (Z). The output variables were the unconfined compression strength and the  
53 flexural strength, after 7 and 28 days curing. Results indicate that the activator index (X) was  
54 the most influential variable, followed by the precursor index (Y). Microstructural analysis  
55 of selected pastes was also performed, using scanning electron microscopy and energy  
56 dispersive spectroscopy. The ideal composition obtained for the alkaline cement was the  
57 mixture constituted by  $X = 0.75$ ,  $Y = 0.5$  and  $Z = 10$  (activator: 75% SS and 25% SH;  
58 precursor: 50% SG and 50% FA; SH concentration = 10 molal). This mixture achieved  
59 8.70 MPa of flexural strength and 44.25 MPa of compressive strength which is reasonable  
60 for the required application (soil stabilisation).

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66 **Key words:** Alkaline-activation, response surface design, fly ash, steel slag, microstructural  
67 analysis, unconfined compression strength, flexural strength

## 68 1. Introduction

69

70 Climate change in recent decades has been assigned by several experts to the excessive  
71 emissions of greenhouse gases and toxic pollutants. The consequences of climate change  
72 have been visible in the intensification of natural catastrophes resulting in the loss of  
73 thousands of lives as well as vast economic losses. The need to reduce green-house gases is  
74 an increasingly entrenched principle in society, encouraging the production and use of new  
75 materials to reduce the effects of Portland cement production which releases a significant  
76 amount of carbon dioxide to the atmosphere.

77

78 In fact, the cement industries are among those penalized by the Kyoto Protocol, signed in  
79 1997 and more recently in the Paris Agreement, 2016, due to excessive emissions. However,  
80 the massive production of Portland cement has also caused other environmental impacts due  
81 to the use of clay and limestone that are becoming increasingly scarce. To produce a ton of  
82 Portland cement, several tons of raw materials are extracted from the earth, the extraction  
83 being faster than the sustainability of the system. On the other hand, as the world's population  
84 grows, the need for new constructions and infrastructures increased the consumption of raw  
85 materials and the production of waste. Rattanasak & Chindaprasirt [1] have already noted  
86 that the sharp growth of concrete production has led to an increase of cement production to  
87 quantities never reached.

88

89 One potential method of addressing both problems (the increasing demand for housing  
90 materials and the increasing volume of industrial waste) is to use these wastes as construction  
91 materials [2]. Today, the reuse of waste from different industrial processes as new materials  
92 for civil construction has been increasingly developed to promote circular economy.  
93 Alkaline activated cements can significantly reduce carbon dioxide emissions as well as the  
94 consumption of non-renewable natural resources in civil engineering applications, relatively  
95 to ordinary Portland cement (OPC), since waste materials can be used instead of natural  
96 aggregates [3].

97

98 Alkaline activation (AA), can be described as a reaction between aluminosilicate materials  
99 (precursors) and alkali or alkali-based earth substances namely, ROH, Ca(OH)<sub>2</sub>, R<sub>2</sub>CO<sub>3</sub>,  
100 R<sub>2</sub>S, Na<sub>2</sub>SO<sub>4</sub>, CaSO<sub>4</sub>.2H<sub>2</sub>O, R<sub>2</sub>(n)SiO<sub>2</sub>, in which R represents an alkaline ion, such as  
101 sodium (Na) or potassium (K), or an alkaline-earth ion, such as calcium (Ca). This technique

102 is particularly adequate to create binders based on residues, such as fly ash or slag, which  
103 constitute very effective options due to their amorphous or vitreous aluminosilicate  
104 microstructure [4-5]. The reactions begin with destruction of the covalent bonds Si-O-Si, Al-  
105 O-Al and Al-O-Si present in the glassy phase of the precursor. The products precipitate and  
106 reorganize into more stable and ordered structures of Si-O-Al and Si-O-Si [6]. When calcium  
107 is present in the mixture in significant amounts, the formation of a gel type C-A-S-H with  
108 2D structure is favoured. However, in a material with low calcium content, the gel formed  
109 is an amorphous aluminosilicate gel (sometimes designated as N-A-S-H gel) with 3D  
110 structure [7]. In some circumstances (for intermediate calcium contents and high pH values)  
111 both types of cementitious gels are present and interacting leading to structural and  
112 compositional changes in the process [8-9].

113

114 In the present work, a mixture of low calcium fly ash (FA), which is commonly used in this  
115 type of experiments [10-12], and steel slag (SG) were used to produce an alkali activated  
116 cement (AAC). The application behind the development of this AAC was soil improvement  
117 under the phreatic table. Therefore, it was important to include a high-calcium source, like  
118 the slag, to increase the rate of the reactions and the subsequent production of the binding  
119 gel [13], especially under the mild temperatures expected underground. Steel slags, namely  
120 ladle slags, are not very often used in alkaline activation due to their high crystalline content.  
121 This type of slags are less desirable as an aggregate since their usually slow cooling process  
122 produces a very significant content of fine powders [14], although previous studies reported  
123 significant strength increase when natural aggregates were replaced by combinations of ladle  
124 slag and blast furnace slag [15]. However, the use of this slag has economic and  
125 environmental benefits since there is not a known application outside the steel industry. For  
126 that reason, the aim of the present research was the optimization of the mechanical strength  
127 of this AAC resulting from the activation of these precursors, by an alkaline solution based  
128 on sodium hydroxide and sodium silicate. The mechanical behaviour was analysed by  
129 compression and flexural strength tests, while the microstructural analysis was made by the  
130 interpretation of scanning electron micrographs coupled with Energy Dispersive  
131 Spectroscopy and X-ray diffraction.

132

133 The design of the experimental work was based on the response surface method (RSM), to  
134 obtain an appropriate mathematical model, capable of minimizing the required experiments  
135 while, at the same time, producing a highly effective tool for data analysis and interpretation,

136 allowing efficiency and economy in the experimental process and scientific objectivity in  
137 the conclusions. Rios et al., [4] explains that these methods are especially advantageous for  
138 mixtures with several constituents, as is the case of AAC, where the best performing blend  
139 is the target. This is usually achieved by changing the amount of each constituent at a time,  
140 following the traditional method of changing a factor at a time. However, this methodology  
141 does not explain the interactions between variables, which can be obtained using complete  
142 factorial designs. If central and axial points are added to a two-level factorial design, the  
143 obtained composite design is considered suitable for surface response methods [16]. In this  
144 work, a face-centred composite design was used, which means that the axial points are at the  
145 centre of each face of the factorial space. Other authors have used response surface methods  
146 to optimise alkali activated binders [17] or concrete pastes [18] with different objectives  
147 (mixture optimization, identification of main variables, identification of outliers). In this  
148 particular work, predicting equations for compressive and flexural strength at 7 and 28 days  
149 were developed based on three input variables (activator index, precursor index and sodium  
150 hydroxide concentration).

151

## 152 **2. Materials and methods**

153

### 154 *2.1. Materials*

155

156 The ladle slag used in this study was collected at the Megasa Steel Industry of Maia,  
157 Portugal, where the corresponding electric arc furnace slag is already certified for use in  
158 construction, as an aggregate for pavement base layers or bituminous layers. It is a white  
159 powder, currently without any known application outside the steel industry. It presents a  
160 high calcium content, as showed in Table 1, although a significant portion is crystalline,  
161 which hinders its reactivity in terms of alkali activation reactions [19-20]. Furthermore,  
162 although some cementitious behaviour might be achieved, an increasing water content can  
163 severely reduce the strength development, due to the formation of metastable phases, as  
164 shown by Adesanya et al. [21]. The XRD reproduced in Figure 1 shows some crystalline  
165 phases, mostly Calcium Silicate and Gehlenite. An amorphous phase was also detected,  
166 based on the halo between 28 and 35° (2 $\theta$ ). The quantification of the vitreous content of the  
167 slag would have been desirable, but such experimental procedure is known to be very limited  
168 [14, 20, 22]. Nevertheless, several authors have used quantitative XRD (Rietveld) to estimate  
169 the vitreous phase in ladle slags, obtaining significantly different total values, like 60 wt%

170 [23] – with the amorphous CaO representing between 45 wt% and 64 wt% of the total CaO  
171 in the slag; 21 wt% [24]; or 16 wt% [25].

172

173 The fly ash (FA) was collected at the PEGOP thermoelectric power plant, located in Pego,  
174 Portugal, where there is a significant production of this residue, often stored in a large area  
175 with high significant management impacts. It is classified as type F, according to ASTM  
176 standard C 618 [26] due to its low calcium content, as observed in Table 1. In terms of  
177 mineralogy (Figure 1), this material showed some amorphous content, based on the halo  
178 between the 16 and 30° (2 $\theta$ ), with quartz and mullite as the main crystalline phases. This is  
179 important since the lower the amorphisation degree, the lower the capacity for activation  
180 and, consequently, the lower the mechanical strength development [27]. Although the loss  
181 on ignition was not determined for this particular FA, a similar FA collected from the same  
182 installation, was only 2.59, as mentioned by Cristelo et al. [28].

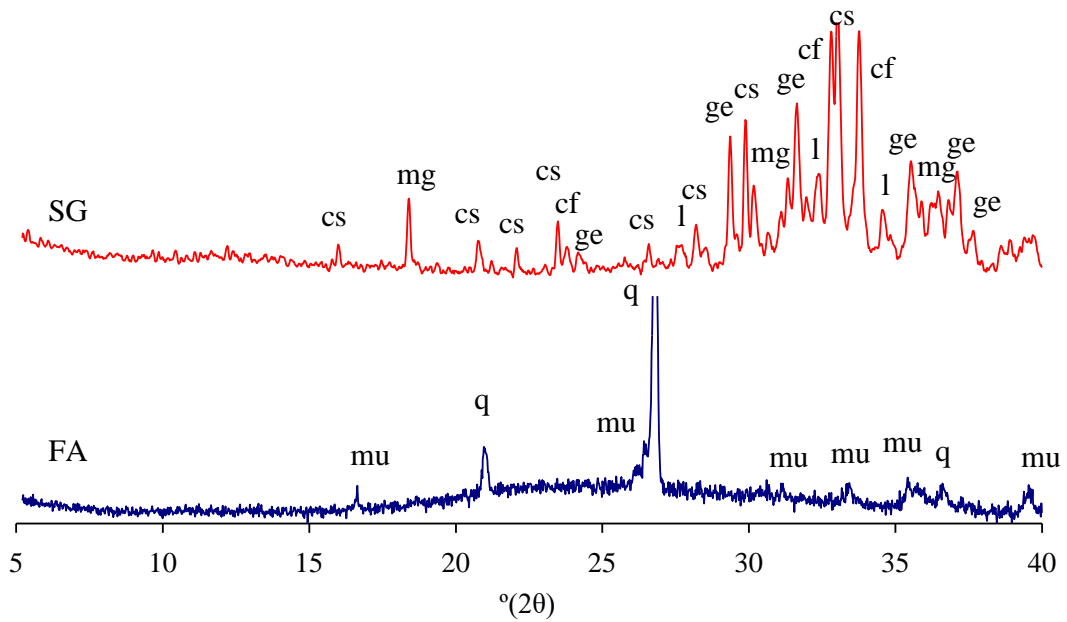
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184 Table 1: Composition of the solid materials

Element	Slag (wt%)	Fly Ash (wt%)
CaO	54.9	4.68
SiO <sub>2</sub>	23.5	54.84
MgO	8.5	1.79
Al <sub>2</sub> O <sub>3</sub>	6.6	19.46
Fe <sub>2</sub> O <sub>3</sub>	1.1	10.73
MnO	0.4	-
K <sub>2</sub> O	-	4.26
TiO <sub>2</sub>	-	1.40
Na <sub>2</sub> O	-	1.65

185

186



187

188 Figure 1: X-ray diffraction pattern for the slag (SG) (cf - Calcium/Magnesium/Iron, cs - Calcium Silicate, ge  
 189 - Gehlenite, l - Larnite, mg – Magnetite) and fly ash (FA) (mu – Mullite, q - Quartz).

190

191 Both the FA and the SG underwent a physical treatment, to increase their specific surface  
 192 and, consequently, their reactivity. This was achieved by milling the original materials in a  
 193 modified Los Angeles test machine, for two consecutive periods of 4 hours, after which at  
 194 least 50% of the particles were smaller than 40  $\mu\text{m}$ . Figure 2 shows the particle size  
 195 distribution of both powders, before and after milling for 8 h.

196

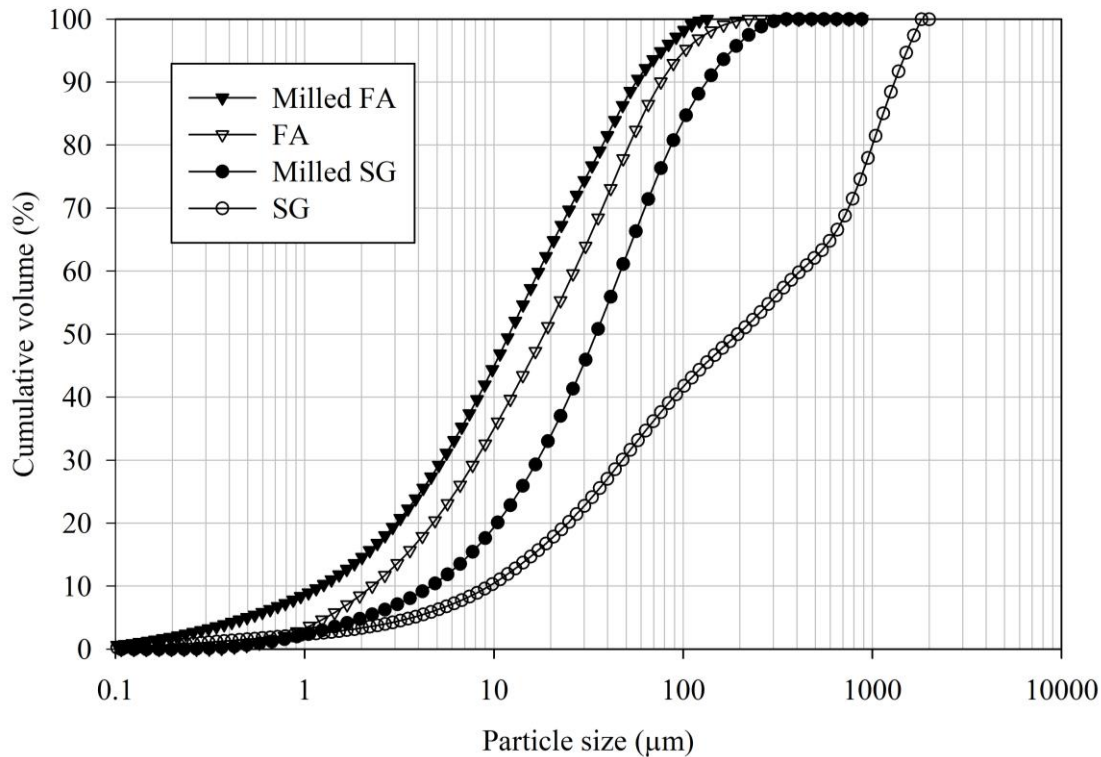


Figure 2: Particle size distribution of fly ash (FA) and slag (SG), before and after milling.

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200 The alkaline activator was a combination of sodium hydroxide and sodium silicate, both in  
201 solution form. Sodium hydroxide, originally in flake form, with a specific gravity of 2.13 at  
202 20°C and 95-99% purity, was dissolved in water to the desired molal (m) concentration. The  
203 sodium silicate was already in solution form, with a specific gravity of 1.5 and  $\text{SiO}_2/\text{Na}_2\text{O}$   
204 ratio of 2 by mass.

205

## 206 2.2. Definition of the mixtures and testing procedures

207

208 The evaluation of the RSM results explores the relations between the factors that affect the  
209 process, as well as those between the factors and the response, in order to minimize the  
210 experimental effort and maximize the relevant information collected from each experiment.

211

212 Possible variables in this study were the contents of each constituent of the AAC, namely  
213 the FA, SG, sodium silicate (SS) and sodium hydroxide (SH). However, previous studies  
214 determined clear correlations between alternative variables and the mechanical properties of  
215 the AAC, namely the unconfined compression strength (UCS) [29-32]. Such variables  
216 usually include the liquid content of the mixture, the weight ratio between the two activator



217 components, and the sodium hydroxide concentration. An additional parameter was  
 218 included, which was the relation between FA and SG. The liquid content, in terms of the  
 219 ratio between the quantity of activator solution and the quantity of slag and fly ash, was set  
 220 constant to  $L/S = 0.4$ , based on previous work from the authors [4, 33], using similar  
 221 materials and conditions. It is important to notice that this method requires the input variables  
 222 to be continuous so, the variables considered in the statistical study were the following:

- 224 •  $X = SS / (SS + SH)$  – activator index
- 225 •  $Y = SG / (SG + FA)$  – precursor index
- 226 •  $Z = SH$  concentration – activator quality

227  
 228 with variation ranges of 0.5-1.0, for X and Y, and 8-12, for Z. The value  $X = 0.5$  corresponds  
 229 to an activator composed of 50% SS + 50% SH, while  $X = 1$  reflects an activator with 100%  
 230 SS. The value  $Y = 0.5$  corresponds to a mixture with 50% SG + 50% FA, while  $Y = 1$   
 231 indicates that only SG is present. The Z values reflect the molality of the mixture, which was  
 232 defined based on the literature [34-36]. This led to an experimental plan composed of a total  
 233 of 16 mixtures, as defined by the model. All the mixtures are identified in Table 2, including  
 234 the corresponding X, Y and Z values and the liquid and solid contents, defined as a  
 235 percentage of the total weight. It should be noted that, according to this methodology, the  
 236 central point is repeated twice to assess the experimental error (mixtures 5 and 7).

237  
 238 Table 2: Mixtures generated by the RSM model (red lines correspond to mixtures submitted to microstructural  
 239 analysis; bold line correspond to the optimum mixture, in terms of compressive strength)

Mixture ID	Activator index (X)	Precursor index (Y)	SH concentration (Z)	Precursor (%)		Activator (%)	
				SG	FA	SS	SH
1	1,0	1,0	0,0	100	0	100	0
2	1,0	0,50	0,0	50	50	100	0
3	0,50	0,50	8,0	50	50	50	50
4	0,75	0,75	12,0	75	25	75	25
<b>5</b>	<b>0,75</b>	<b>0,75</b>	<b>10,0</b>	<b>75</b>	<b>25</b>	<b>75</b>	<b>25</b>
6	1,0	1,0	0,0	100	0	100	0
7	0,75	0,75	10,0	75	25	75	25
8	0,50	0,50	12,0	50	50	50	50
9	1,0	0,50	0,0	50	50	100	0
<b>10</b>	<b>0,75</b>	<b>0,50</b>	<b>10,0</b>	<b>50</b>	<b>50</b>	<b>75</b>	<b>25</b>
<b>11</b>	<b>0,75</b>	<b>0,75</b>	<b>8,0</b>	<b>75</b>	<b>25</b>	<b>75</b>	<b>25</b>
12	0,75	1,0	10,0	100	0	75	25
13	0,50	1,0	8,0	100	0	50	50
14	1,0	0,75	0,0	75	25	100	0
<b>15</b>	<b>0,50</b>	<b>0,75</b>	<b>10,0</b>	<b>75</b>	<b>25</b>	<b>50</b>	<b>50</b>
16	0,50	1,0	12,0	100	0	50	50

240

241 The activator was prepared 24h before the fabrication of the mixtures. The SH pellets were  
242 dissolved in water to the desired molal concentration and let to cool down at room  
243 temperature. The two solutions were then mixed, according to the desired activator index  
244 (variable X). After 24 h, the solids (FA and SG) were dry mixed and added to the activator,  
245 followed by a 3-minute homogenization period, in an automatic mixer. The resulting paste  
246 was casted in 10 x 10 x 60 mm moulds. After two days, the specimens showed an adequate  
247 consistency, enough to be demoulded, after which they were stored in a temperature-  
248 controlled room (20°C), to cure for 7 and 28 days, at 93% to 95% of relative humidity. To  
249 increase the reliability of the results, 3 replicates of each mixture were fabricated and tested  
250 (a procedure proposed by the RSM methodology), totalising 96 specimens (16 mixtures x 2  
251 curing times x 3 replicates).

252

253 After the curing period, the specimens were tested for flexural strength, using the Köch-  
254 Steinegger procedure [37], consisting of a 3-point loading setup, with a support span of 50  
255 mm. This test was developed for the evaluation of the degradation of the material in a certain  
256 medium, through the loss of mechanical properties. The flexural strength peak was  
257 calculated according to Eq. (1), for specimens with rectangular cross sections.

258

$$259 \quad F = \frac{3PL}{2bd^2} \quad (1)$$

260

261 where is the  $F$  the flexural strength (MPa),  $P$  is the maximum load at failure (N),  $L$  is the  
262 support span (50 mm),  $b$  is the average width of the sample (10 mm) and  $d$  is the average  
263 height (10 mm).

264

265 After levelling the surfaces of the two remaining halves, these were tested for unconfined  
266 compression strength, following EN 196-1 [38], with a loading speed of 0.07 kN/s, taking  
267 the average of the compression strength of the two halves as the final value of the unconfined  
268 compression strength.

269

270 Specimens from the tested flexural and compression strength specimens were collected for  
271 X-ray diffraction (XRD), Scanning Electron Microscope (SEM) and Energy Dispersive  
272 Spectroscopy (EDX) in four selected mixtures (mixtures 5, 10, 11 and 15). Mixture 5, being

273 a central point, is one of special importance, as it can be compared with all the others that  
274 change just one variable. For instance, mixtures 5 and 10 have the same SH molal  
275 concentration but different ratios of slag and fly ash. The only difference between mixture 5  
276 and 11 is the sodium hydroxide molal concentration, and between 5 and 15 the only  
277 difference is the ratio between sodium silicate and sodium hydroxide. For this reason, these  
278 mixtures were chosen for microstructural analysis.

279  
280

### 281 **3. Results and discussion**

282

#### 283 *3.1. Flexural and compressive strength*

284

285 The results of flexural and compression strength tests are presented in Figure 3 and Figure  
286 4, respectively, taking the average value of the three tested specimens of the same mixture  
287 at the same age. All the tested mixtures showed compression strength values above 10 MPa,  
288 after 7 days curing, which is deemed satisfactory for the envisaged application. The authors  
289 tested very similar mixtures in previous research [4, 33], with the same raw materials and  
290 compositions, obtaining significantly lower strength values, which was a consequence of the  
291 fact that both the fly ash and the slag were not milled, indicating that this has a determinant  
292 influence on the precursor reactivity. The central point results (mixtures 5 and 7) were quite  
293 similar between them, giving a positive feedback about the methodology and increasing the  
294 confidence on the reproducibility of the results. Additionally, it is also clear from the  
295 presented results that the mixtures with slag and fly ash have higher strength than the mixture  
296 with only slag (mixtures 1, 6, 12, 13 and 16), indicating that the presence of fly ash is  
297 important to increase the silica content needed to the N-A-S-H gel structure. It should be  
298 also pointed out that these strength values are lower than what is generally obtained with  
299 blast furnace slags [39-41], although enough for most geotechnical applications.

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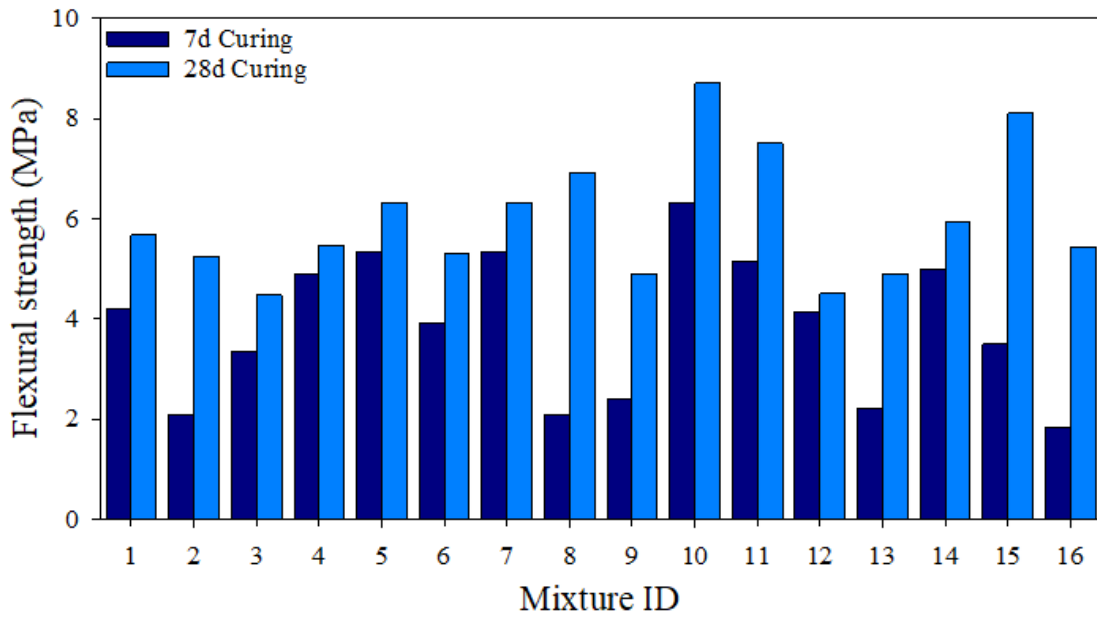


Figure 3: Flexural strength of all mixtures tested, after 7 and 28 days curing

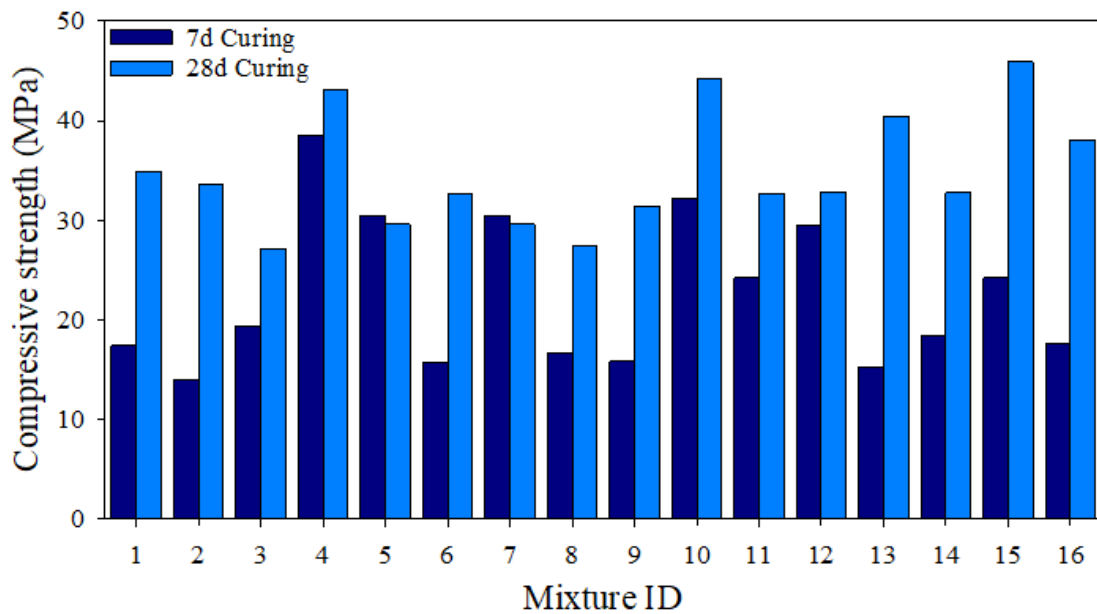


Figure 4: Compressive strength of all mixtures tested, after 7 and 28 days curing

### 3.2. Response Surface Method (RSM)

The advantage of the RSM is that it can analyse all the experimental results together instead of looking at each result individually or in average as presented above. For the statistical analysis of the results, all the 96 results were introduced in two RSM commercial software's (JMP7® and Minitab18®) which allow slightly different outputs. This analysis provides

313 three regression equations for each output variable (flexural and compressive strength), at  
 314 each curing period, which describe and estimate the mechanical behaviour of the material.  
 315 These equations describe the relationship between the output and input variables, namely the  
 316 mechanical response and the mixture constitution, with an algebraic representation of the  
 317 response surface. Equations 2 and 3 address the flexural strength, while equations 4 and 5  
 318 address the compression strength for the two curing periods. From these equations it is also  
 319 perceived that the variable X (the activator index) is the most important variable, followed  
 320 by variable Y (the precursor index) and the sodium hydroxide concentration (variable Z).  
 321 The smaller influence of this latter, in agreement with Figure 7, can be explained by the  
 322 small range of variation used in this study. In fact, the purpose of the sodium hydroxide is to  
 323 keep the pH within a certain value to enable the effectiveness of the grout in all mixtures at  
 324 low curing temperature. Other studies analysing a broader range of variation have found that  
 325 very high sodium hydroxide concentrations can delay the polymerisation reaction as  
 326 explained by Alonso & Palomo [42].

327

$$328 \quad FS_{7days} = 5.17 - 106 X + 25.5 Y + 2.74 Z + 88.30 X^2 - 11.15 Y^2 - 0.14 Z^2 - 2.6 XY + 0.59 XZ - 0.73 YZ \quad (2)$$

$$329 \quad FS_{28days} = -52.91 + 30 X + 44.3 Y + 5.75 Z + 10 X^2 - 19.77 Y^2 - 0.15 Z^2 - 13.8 XY - 3.52 XZ - 0.88 YZ \quad (3)$$

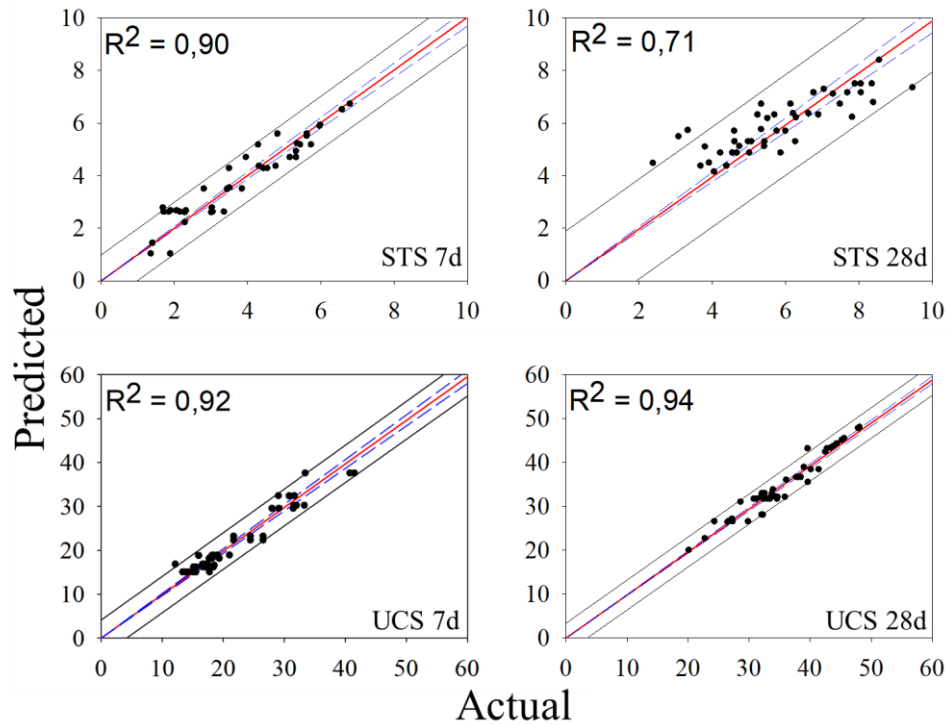
$$330 \quad CS_{7days} = 304.7 - 1099 X + 51.3 Y + 2.83 Z + 788 X^2 - 40.5 Y^2 - 0.51 Z^2 - 11.7 XY + 14.56 XZ - 0.07 YZ \quad (4)$$

$$331 \quad CS_{28days} = 251 - 1111 X + 179.2 Y + 9.5 Z + 883 X^2 - 16.7 Y^2 - 0.57 Z^2 - 153.3 XY + 11.37 XZ - 5.52 YZ \quad (5)$$

332

333 In this type of statistical analysis, it is important to evaluate if the model was well adjusted  
 334 and if the tests developed agree with the initial design. The model allows such type of data  
 335 analysis by organising the information as shown in Figure 5, where the output variable  
 336 obtained in each test (*Actual*), is shown as a function of the value predicted by the model  
 337 (*Predicted*). In short, the closer the points are disposing in a diagonal line, the better is the  
 338 model.

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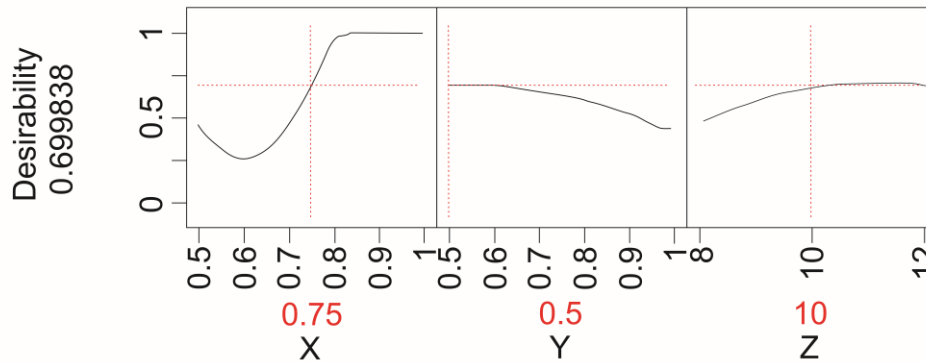
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Figure 5: Relationships between predicted (Predicted) and experimental (Actual) values for the output variables. The flexural strength is indicated as STS – splitting tensile strength while the compressive strength is indicated by UCS – unconfined compression strength.

The red line in the charts represents the linear regression, with the corresponding  $R^2$  coefficient, while the blue dotted lines represents the confidence interval (CI), with a 95% confidence. The two black lines represent the prediction interval (PI) which is an estimation of the range where new data, obtained in the same context, will be contained, with a given probability. The main difference between the PI and the CI is that, while the CI is determined only with the data obtained from the specimen, the PI is established using a linear regression model. It is also clear from Figure 5 that the flexural strength has higher dispersion than compressive strength, which is in agreement with several other published results.

The model optimization presents the highest value that, theoretically, can be obtained, based on the data feed, as well as the conditions that will allow this value to be reached. In this study, such information is given in Figure 6, as a function of the input variables X, Y and Z. The ‘*Desirability*’ value evaluates how well the variables can be combined to reach the optimal response (i.e. the highest strength). ‘*Individual Desirability*’ evaluates how each setting optimizes a single response (for instance, for a single curing time and type of strength) while ‘*Composite Desirability*’ evaluates how the definitions optimize a global set of

361 responses (in this case for flexural and compressive strength at the two curing periods). The  
362 ‘Composite Desirability’ ranges between 0 to 1, with ‘1’ representing the ‘ideal’ case and  
363 ‘0’ indicating that one or more responses are outside their acceptable limits.  
364



365  
366 Figure 6: Prediction profile for the ideal mixture showed in this study (JMP7®).  
367

368 The optimal mixture indicated by the prediction profile showed in Figure 6 has values of X  
369 = 0.75, Y = 0.5 and Z = 10, which is the same composition used for mixture 10. Although  
370 this mixture did not show the best mechanical results after 28 d curing, it is, nevertheless,  
371 very similar to mixture 15, which indeed presented the highest compressive strength after 28  
372 d. The RSM considered this mixture as ideal based on the flexural strength data when it  
373 showed the highest results. Based in the experimental results (highest flexural strength and  
374 second-highest compressive strength), it can be concluded that, globally, mixture 10 was  
375 indeed the most effective.  
376

377 The 3D response surfaces associated with the statistical analysis are presented in Figure 7,  
378 which can help in the interpretation of the results. A change in the response with the  
379 variations in X and Y can be observed, showing that these variables have a significant  
380 influence on the results, with the possible exception of the Y variable on the compressive  
381 strength after 7 days. From Figure 7 it is also possible to observe the effectiveness of mixture  
382 10 (Table 2).  
383

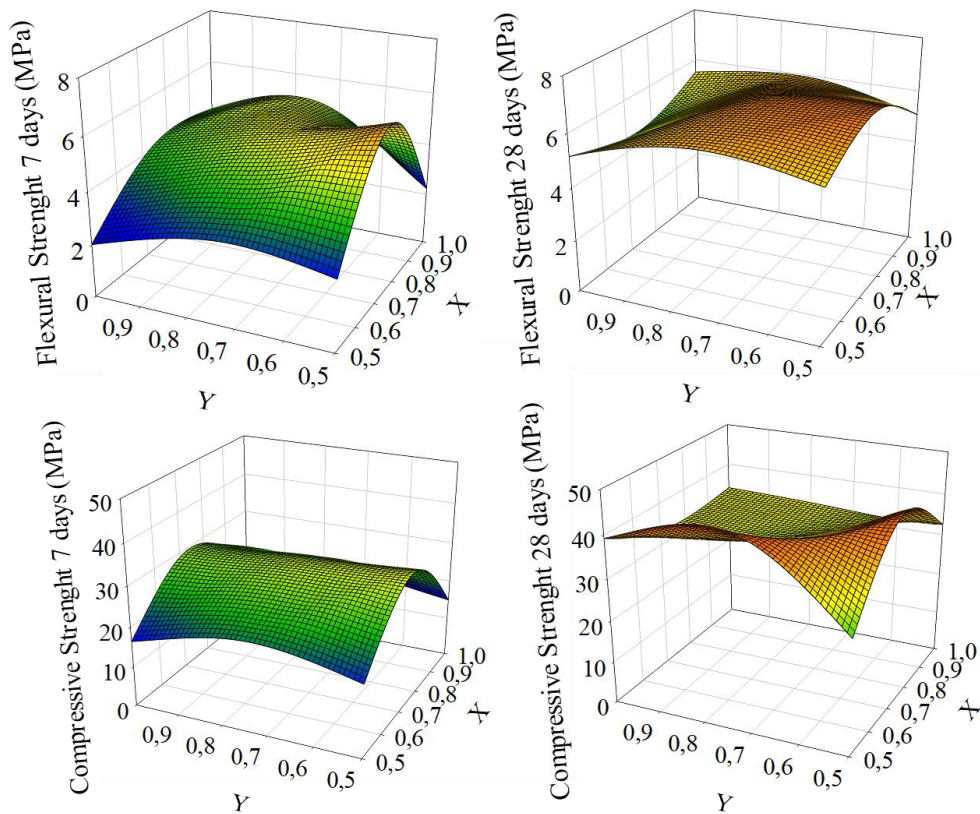


Figure 7: Response surfaces for flexural and compression strength

384  
385  
386

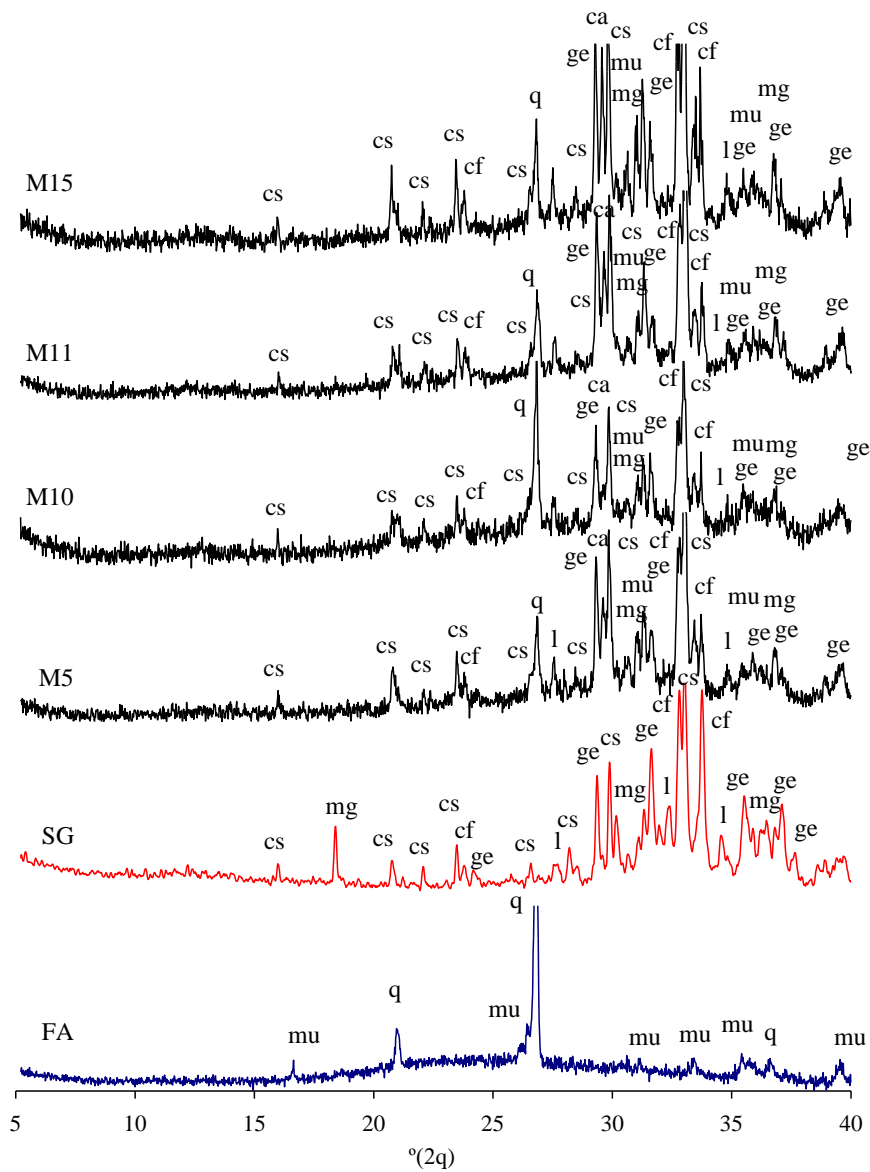
### 3.3. XRD analysis

388

389 Figure 8 presents the X-ray diffractograms of mixtures 5, 10, 11 and 15, together with the  
 390 original slag and fly ash, after curing for 28 days. As expected, given the fact that the M10  
 391 mixture is the only with a 50% FA content (the remaining three have just 25% FA), this  
 392 mixture's diffractogram showed the highest level of resemblance to the FA's diffractogram  
 393 (e.g. the main quartz peak in the FA is more intense in the M10 than the remaining mixtures).  
 394 The hump associated with the FA's amorphous content presented a shift to the right in the  
 395 M10 and decreased in volume, a consequence of the formation of crystalline phases.  
 396 However, even in this mixture the traces from the slag are more visible than those from the  
 397 fly ash, indicating that, possibly, the latter contributed more to the development of the  
 398 reaction products. The only new phase formed after the reactions was calcite, which has been  
 399 associated with a strength increase when significant volumes are developed [43-45]. On the  
 400 other hand, in Portland cement mixtures, calcite can enhance the strength gain by  
 401 contributing to a more compacted morphology, closing the pores of the material. Although  
 402 excessive calcite content can significantly decrease the pH (as calcium carbonate consumes



403 alkalis in its formation), it is important to remember that a high alkalinity level is only  
 404 essential during the initial stage of the reactions, when calcite was not yet fully established.  
 405



406  
 407 Figure 8: X-ray diffractograms of the SG, FA, M5, M10, M11, M15 (legend: cf - Calcium/Magnesium/Iron,  
 408 cs - Calcium Silicate, ge - Gehlenite, l - Larnite, mg – Magnetite, mu – Mullite, q - Quartz and ca – Calcite)  
 409

### 410 3.4. SEM/EDX analysis

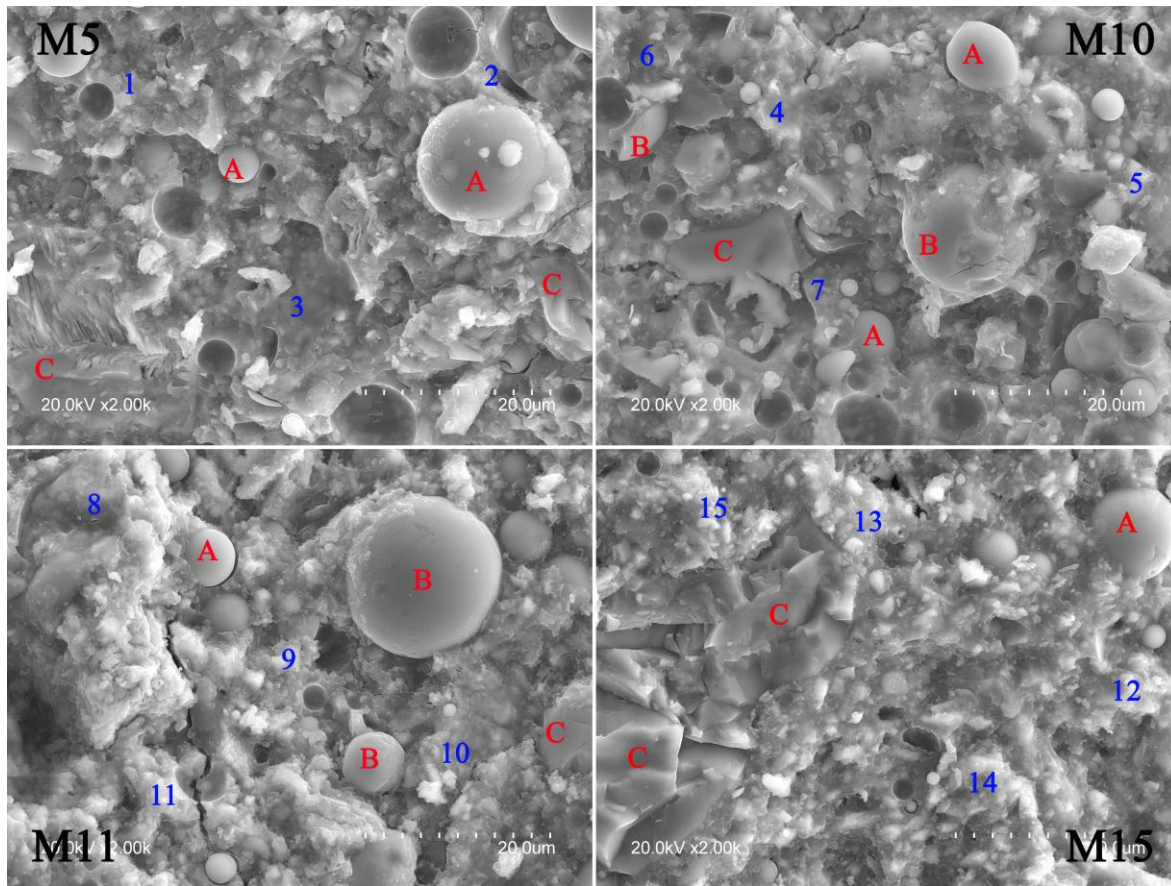
411  
 412 The SEM images of M5, M10, M11 and M15 are presented in Figure 9, together with the  
 413 identification, using EDX, of some intact and partially attacked FA and SG particles. The  
 414 general morphology, showing a clear heterogeneity in all mixtures, seems to indicate that  
 415 the reaction degree was not optimum, as several particles can still be seen unaffected.  
 416 However, a dense and apparently well-cemented structure was developed for each case,

417 contrary to the strength levels registered, which varied significantly. This suggests that the  
418 magnitude of the flexural and compressive strength was a consequence of the quality of the  
419 gel developed by each precursor / activator combination. Also identified in these micro  
420 photos are some of the extensive number of points collected from the gel phase developed  
421 within each paste, points which are fully characterised in Table 3.

422

423 Based on the contents of elements Si, Al, Na and Ca, as well as on the  $Al_2O_3/SiO_2$ ,  $CaO/$   
424  $SiO_2$  and  $Na_2O/SiO_2$  molar ratios, the main reaction product found in M5 can be classified  
425 as a C-A-S-H gel, while in M10, due to its higher FA and lower SG content, is closer to a N,  
426 C-A-S-H gel. Such difference, in terms of gel composition, helps to explain the fact that the  
427 more balanced M10 mixture showed the highest compressive strength for all curing periods,  
428 while the M5 mixture, closer to the typical C-S-H gel developed by Portland cement, showed  
429 high UCS for shorter curing periods (7 and 14 days), but not for the longest period of 28  
430 days. The calcium-based cementitious systems tend to develop their respective stiffness at a  
431 faster rate than those based on aluminosilicate structures, like the ones obtained from class  
432 F fly ash [46-47]. Regarding the M11 and M15 mixtures, fabricated with the same precursor  
433 combination (25FA + 75SG), but with a different activator, it is clear that the higher silicon  
434 content present in the M11 composition (silicate / hydroxide weight ratio of 0.75, compared  
435 with the 0.50 used in the M15 composition) was transposed to the gel. However, this did not  
436 seem to have a positive effect in terms of strength enhancement, since the M15 mixture (with  
437 higher  $CaO/SiO_2$  and  $Na_2O/SiO_2$  molar ratios) presented higher compressive strength values  
438 than the M11, for all curing periods. Both these gels can be classified as C-A-S-H [8].

439



440  
441 Figure 9: Semi-quantitative SEM analysis of M5, M10, M11 and M15 mixtures, after 28 days curing (A =  
442 unreacted FA particle; B = partially reacted FA particle; C = unreacted SG particle)  
443

444 Table 3: Characterisation of the gel points presented in Figure 9

Paste	Point	Element content (wt%)				Molar ratios		
		Si	Al	Na	Ca	Al <sub>2</sub> O <sub>3</sub> /SiO <sub>2</sub>	CaO/ SiO <sub>2</sub>	Na <sub>2</sub> O /SiO <sub>2</sub>
M5	1	17.4	6.0	4.5	26.7	0.305	1.0	0.165
	2	14.7	4.5	5.8	24.0	0.272	1.1	0.250
	3	11.2	3.2	3.0	18.6	0.252	1.1	0.168
M10	4	20.8	6.8	7.7	17.6	0.169	0.6	0.227
	5	28.2	7.3	6.0	9.3	0.135	0.3	0.130
	6	17.4	5.8	10.6	18.2	0.172	0.7	0.371
	7	21.3	3.6	5.1	26.2	0.088	0.9	0.145
M11	8	17.6	6.0	5.2	25.6	0.179	1.0	0.180
	9	16.5	8.3	4.2	26.7	0.263	1.1	0.153
	10	19.6	4.1	4.5	27.4	0.110	1.0	0.138
	11	16.4	3.8	7.6	29.9	0.122	1.3	0.284
M15	12	15.7	3.9	5.9	33.2	0.128	1.5	0.230
	13	14.1	4.8	5.3	31.4	0.303	1.5	0.238
	14	13.4	3.2	5.7	32.2	0.123	1.7	0.261
	15	14.9	2.8	6.6	16.9	0.159	1.1	0.228

445  
446 The composition of all points collected for each of the four mixtures is presented in the  
447 ternary diagrams shown in Figure 10, to corroborate the respective gel type development  
448 proposed above. Based on the compositional diagram proposed by García-Lodeiro et al. [8],

449 the M11 and M15 gels are clearly in the 'C-A-S-H' cluster, with 'Medium' to 'High' calcium  
450 contents. Alternatively, due to its low Al content, these can also be regarded as 'C-(A)-S-H'  
451 gel types. The gel produced by M5, although showing higher Al contents and, thus, several  
452 points outside the classic C-A-S-H area, is not yet considered a N,C-A-S-H gel. On the  
453 contrary, the M10 mixture, with the lowest SG (i.e. calcium) content, is spread between the  
454 C-A-S-H and N,C-A-S-H areas, but most of its spots are located in the latter, thus the  
455 classification as a calcium substituted N-A-S-H gel (i.e. N,C-A-S-H) [8-48]. These results  
456 indicate that the type of gel developed is mostly influenced by the calcium content in the  
457 precursor, which, in this case, means that the gel depends on the slag content.

458

459 Regarding the influence of the silica, alumina and calcium oxide contents on the mechanical  
460 strength, and based on a direct comparison between mixtures M11 and M15, and M5 and  
461 M10, it appears that both the type of activator (first group) and the precursor composition  
462 (second group) were relevant to the final mechanical strength. In the first case, a lower  
463 soluble silica content and a higher alkali concentration (M15) produced a better-performing  
464 gel, with generally higher calcium oxide contents, for the same alumina content. Similar  
465 conclusions were previously reported by Abdollahnejad et al. [49], whom concluded that the  
466 Ca/Si molar had the highest impact on the mechanical strength of alkali-activated  
467 ceramic/slag based binders, with an optimum range at 0.60 to 0.65.

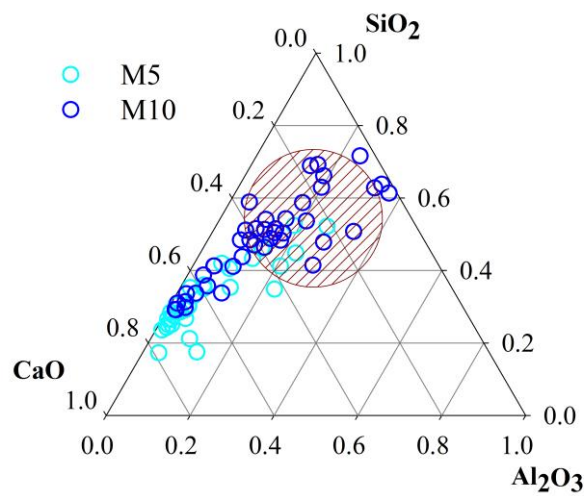
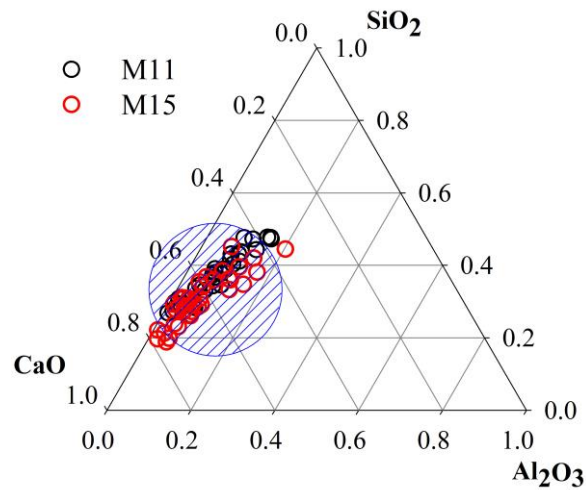
468

469 However, in the second case, a lower slag content (i.e. a lower calcium oxide content)  
470 generated a gel with a higher silica content (M10), which favoured the final mechanical  
471 behaviour, in detriment of a more balanced silica / calcium oxide composition (M5).  
472 Nevertheless, in this case the alumina content also changed significantly, with the higher  
473 strength gel showing higher silica and alumina contents than the higher Ca content. It can be  
474 assumed that the incorporation of calcium is generally positive, in terms of mechanical  
475 behaviour, but only up to a certain degree, when it starts to deplete the structural matrix of  
476 the essential silicon and aluminium ions Abdollahnejad et al. [50].

477

478 In both cases, the slight variations on the activator or precursor were able to produce  
479 significant responses in terms of compressive strength, as the differences between M11 and  
480 M15, and between M5 and M10 were approximately 50%.

481



482

483

Figure 10: Ternary diagrams (CaO-SiO<sub>2</sub>-Al<sub>2</sub>O<sub>3</sub>) based on EDX analysis of the gel composition of M5, M10,

484

M11 and M15 mixtures, after 28 days curing. Points included in the blue circle translate a C-A-S-H gel,

485

while points inside the red circle are closer to a N,C-A-S-H gel.

486

487

#### 488 4. Conclusions

489

490

This paper presents the mechanical and microstructural characterization of a cementing

491

material resulting from the alkaline activation of slag and fly ash which creates a semi-

492

crystalline gel that has similar properties to the C-S-H gel resulting from ordinary Portland

493

cement. This type of binder is nowadays the focus of extensive research, mostly due to its

494

sustainability compared to traditional cement. However, studies addressing the optimisation

495

of the experimental process are scarce, even if the quantity of variables with influence on

496

the quality of the final product, is clearly higher than those affecting OPC results.

497

498 The mechanical performance of this new material was evaluated by unconfined flexural and  
499 compressive strength tests, analysed with a statistical model (response surface method) that  
500 provided regression models capable of predicting the flexural and compressive strength at  
501 two different curing ages. This was further analysed and compared with a microstructural  
502 analysis, including X-ray diffraction, and scanning electron microscopy.

503

504 The specimens were cured without high temperature levels, contrary to what is commonly  
505 used in other works. Usually, mixtures based only on fly ash achieve good strength levels  
506 when high curing temperatures are used, due to its low calcium content. This parameter  
507 significantly affects the structural transition from the amorphous to the crystalline polymer  
508 of the synthesized mineral polymers. The partial replacement of ash with slag, rich in  
509 calcium, promotes the production of C-A-S-H or C,N-A-S-H type gels, which guarantee  
510 higher initial strengths. In fact, the best performing mixture (M10) developed a N,C-A-S-H  
511 gel, a clear indication of the favourable combination of calcium rich slag and low calcium  
512 fly ash.

513

514 The application of the response surface method provided the regression equations for the  
515 compressive and flexural strength at each age based on the mixture composition. It also  
516 provided the definition of the best performing composition using a rational methodology. It  
517 should be noted that the proposed regressions are only valid within the defined range of each  
518 variable. Further work is needed to enlarge the range of variation of the regression model.

519

520 The optimum composition obtained demonstrated significant mechanical performance at  
521 early or at older ages (32.27 MPa and 44.25 MPa of unconfined compression strength at 7  
522 and 28 days respectively), even without curing at high temperatures, which is very important  
523 for the ground improvement applications.

524

525

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527

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537

538

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